NCCN Guidelines Prognostic/Predictive Tools for Adjuvant Decision Making

Robert W. Carlson, M.D.
Chair, NCCN Breast Cancer Panel and
Professor of Medicine
Stanford University



NCCN Breast Cancer Panel Members

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Robert W. Carlson, MD/Chair Stanford Comprehensive Cancer Center

D. Craig Allred, MD Siteman Cancer Center at Barnes-Jewish Hospital and Washington University School of Medicine

Benjamin O. Anderson, MD Fred Hutchinson Cancer Research Center/Seattle Cancer Care Alliance

Harold J. Burstein, MD, PhD
Dana-Farber/Brigham and Women's Cancer
Center | Massachusetts General Hospital
Cancer Center

W. Bradford Carter, MD
H. Lee Moffitt Cancer Center & Research
Institute at the University of South Florida

Stephen B. Edge, MD Roswell Park Cancer Institute

William B. Farrar, MD Arthur G. James Cancer Hospital & Richard J. Solove Research Institute at The Ohio State University

Lori J. Goldstein, MD Fox Chase Cancer Center

William J. Gradishar, MD Robert H. Lurie Comprehensive Cancer Center of Northwestern University Daniel F. Hayes, MD University of Michigan Comprehensive Cancer Center

Clifford A. Hudis, MD
Memorial Sloan-Kettering Cancer Center

Mohammad Jahanzeb, MD St. Jude Children's Research Hospital/ University of Tennessee Cancer Institute

Krystyna Kiel, MD Robert H. Lurie Comprehensive Cancer Center of Northwestern University

Britt-Marie Ljung, MD UCSF Comprehensive Cancer Center

Lawrence B. Marks, MD Duke Comprehensive Cancer Center

Beryl McCormick, MD Memorial Sloan-Kettering Cancer Center

Lisle M. Nabell, MD University of Alabama at Birmingham Comprehensive Cancer Center

Lori J. Pierce, MD University of Michigan Comprehensive Cancer Center Elizabeth C. Reed, MD UNMC Eppley Cancer Center at The Nebraska Medical Center

Mary Lou Smith, JD, MBA Consultant

George Somlo, MD City of Hope

Richard L. Theriault, DO, MBA
The University of Texas M. D. Anderson
Cancer Center

Neal S. Topham, MD Fox Chase Cancer Center

John H. Ward, MD Huntsman Cancer Institute at the University of Utah

Eric P. Winer, MD
Dana-Farber/Brigham and Women's
Cancer Center | Massachusetts General
Hospital Cancer Center

Antonio C. Wolff, MD
The Sidney Kimmel Comprehensive
Cancer Center at Johns Hopkins
University

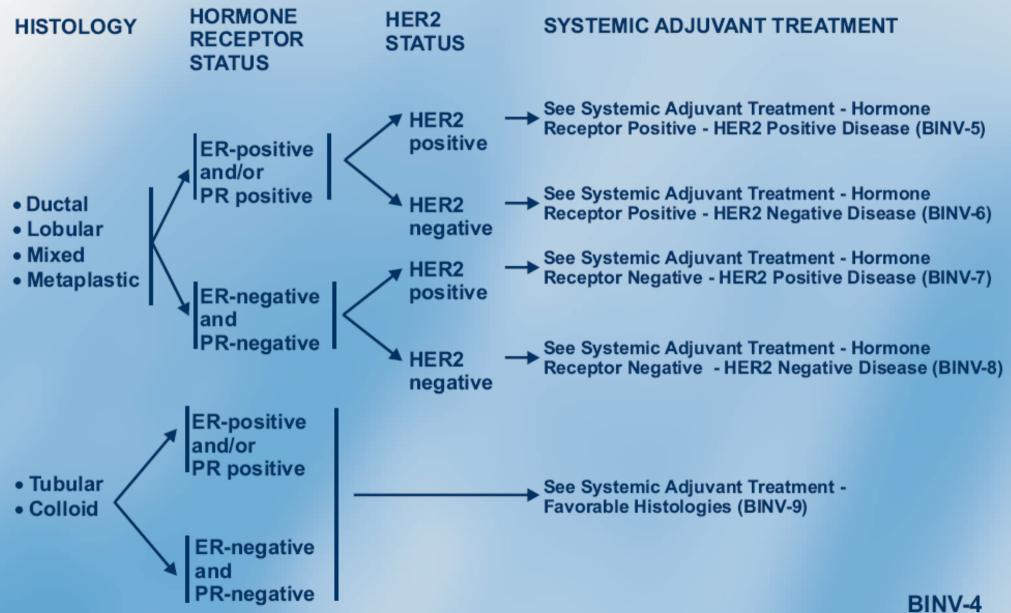
Biological Application of Adjuvant Therapy

- Chemotherapy: benefit in all endocrine and HER2 subtypes.
- Trastuzumab: active only in HER2 amplified or over-expressed disease
- Endocrine therapies: only effective in estrogen and/or progesterone receptor positive disease



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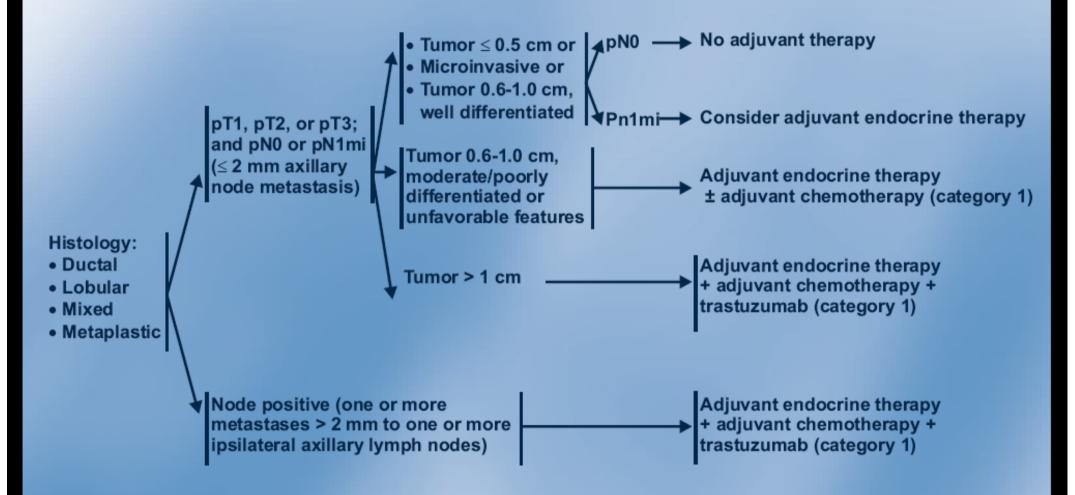




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SYSTEMIC ADJUVANT TREATMENT - HORMONE RECEPTOR POSITIVE - HER2 POSITIVE DISEASE



Prognostic/Predictive Factors

| | Prognostic | Predictive |
|---------------|------------|------------|
| Lymph nodes | Yes | |
| Tumor size | Yes | |
| Tumor type | Yes | |
| Tumor grade | Yes | |
| LVI | Yes | |
| Proliferation | Yes | |
| ER/PR status | Yes | Yes |
| HER2 stat\us | Yes | Yes |
| Genomics | Yes | Yes |

Adjuvant! Online

Decision making tools for health care professionals

Adjuvant! for Breast Cancer (Version 8.0)

| Age: | 54 | No additional therapy: |
|-----------------|--------------------------|--|
| Comorbidity: | Perfect Health | |
| ER Status: | Positive 🔻 | 46.1 alive and without cancer in 10 years. |
| Tumor Grade: | Grade 3 | 51.3 relapse. 2.6 die of other causes. |
| Tumor Size: | 1.1 - 2.0 cm | With hormonal therapy: Benefit = 23.9 without relapse. |
| Positive Nodes: | 1 - 3 | |
| Calculate For: | Relapse | With chemotherapy: Benefit = 21.3 without relapse. |
| 10 Year Risk: | 52 Prognostic | |
| Adjuvant The | rapy Effectiveness | With combined therapy: Benefit = 36.1 without relapse. |
| Horm: Aromat | tase Inhibitor for 5 yrs | |
| Chemo: 3rd G | eneration Regimens | |
| Hormonal Therap | y: 56 | Print Results PDF Access Help and Clinical Evidence |
| Chemotherapy: | 51 | Images for Consultations |
| Combined Therap | 78 | <u></u> |

© 2008 Adjuvant! Inc.

AdjuvantOnline Validation 10-Year DFS

| Characteristic | Adjuvant Prediction (%) | Observed (%) |
|----------------|-------------------------|--------------|
| Age (years) | | |
| 20-35 | 67.9 | 54.3 |
| 36-50 | 69.8 | 67.6 |
| 51-65 | 70.5 | 71.2 |
| 66-75 | 71.7 | 72.3 |
| >75 | 74.8 | 72.0 |
| Tumor Grade | | |
| 1 | 82.8 | 82.7 |
| 2 | 74.5 | 73.4 |
| 3 | 63.9 | 62.1 |
| Unknown | 70.7 | 73.3 |

AdjuvantOnline Validation 10-Year DFS

| Characteristic | Adjuvant Prediction (%) | Observed (%) |
|----------------|-------------------------|--------------|
| Tumor size, mm | | |
| 1-10 | 80.8 | 79.7 |
| 11-20 | 74.5 | 73.3 |
| 21-50 | 60.0 | 59.5 |
| | | |
| ER status | | |
| Negative | 65.5 | 66.1 |
| Positive | 72.0 | 69.6 |
| Unknown | 74.5 | 76.2 |

AdjuvantOnline

Pros

- Widely available
- Free of cost
- Easy to use
- Validated
- Objective, unbiased

Cons

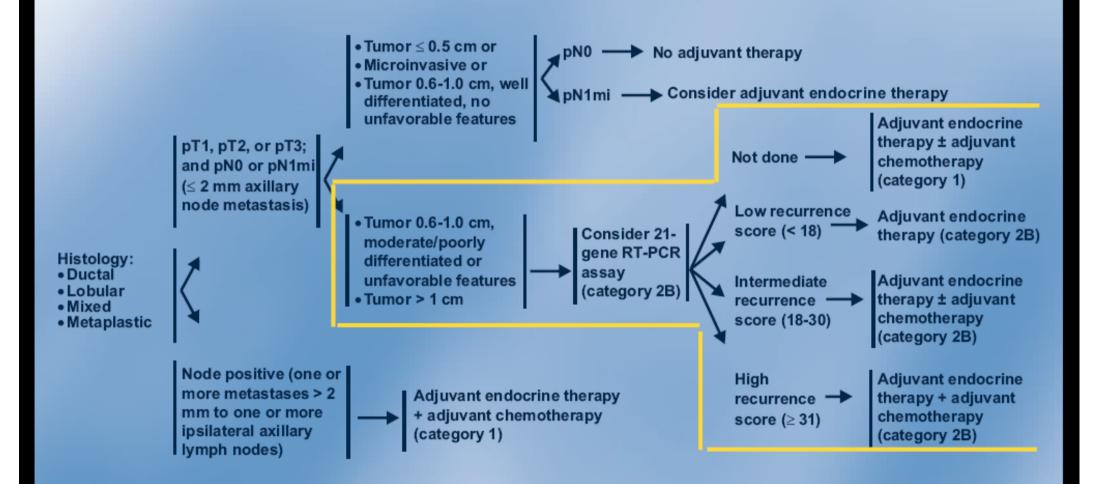
- Lack of HER2 and trastuzumab consideration
- Mix of qualitative/quantitative factors
- Lack of quality control over biomarkers input



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Gene Profiling Technology:



Oncotype DXTM Technology: Algorithm and Recurrence Score (RS)

RS = +0.47 x HER2 Group Score

-0.34 x ER Group Score

+1.04 x Proliferation Score

+0.10 x Invasion Group Score

+0.05 x CD68

-0.08 x GSTM1

-0.07 x BAG1

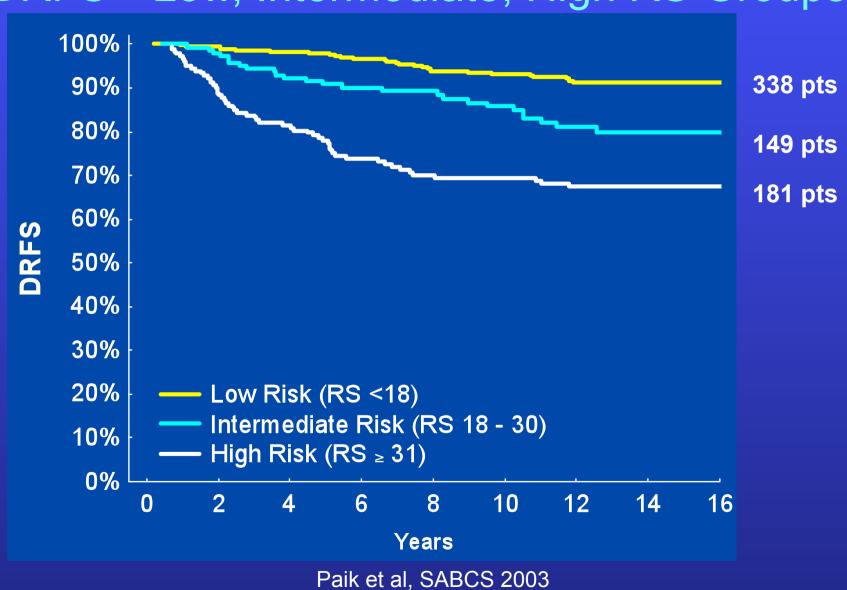
| Recurrence Category | RS (0-100) |
|---------------------|----------------|
| Low risk | <18 |
| Intermediate risk | 18-30 |
| High risk | <u>></u> 31 |

Recurrence Score as a Continuous Predictor



B14-Results

DRFS—Low, Intermediate, High RS Groups



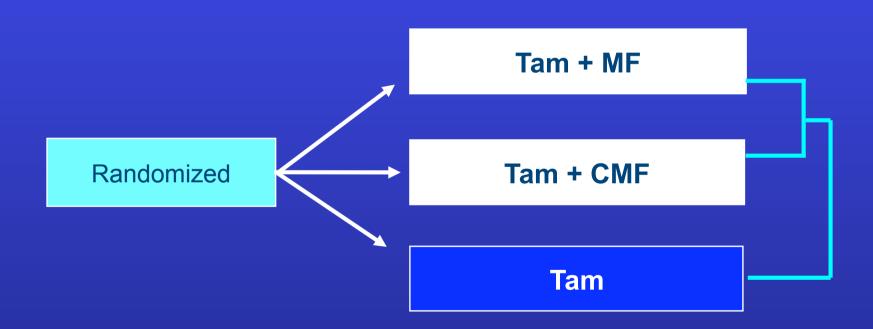
RS as a predictive factor for benefit from tamoxifen: NSABP B-14

Randomized (N=645)

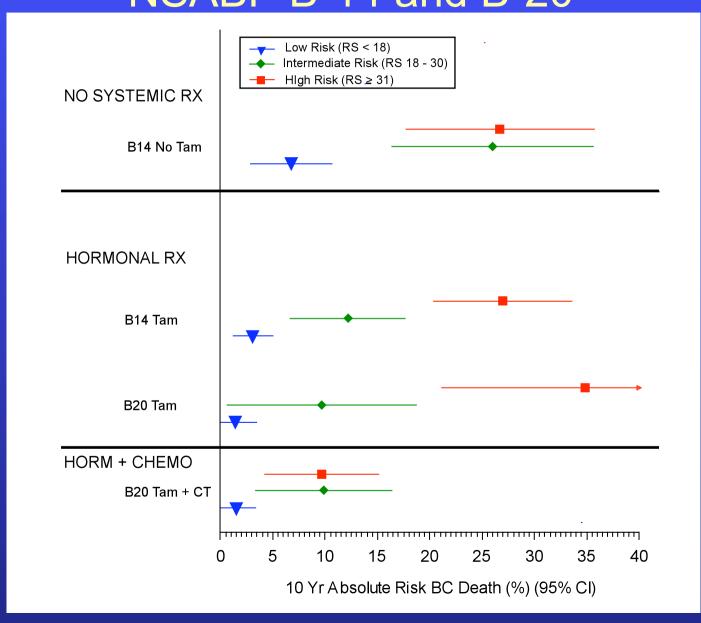
Placebo—(N=355)

Tam —(N=290)

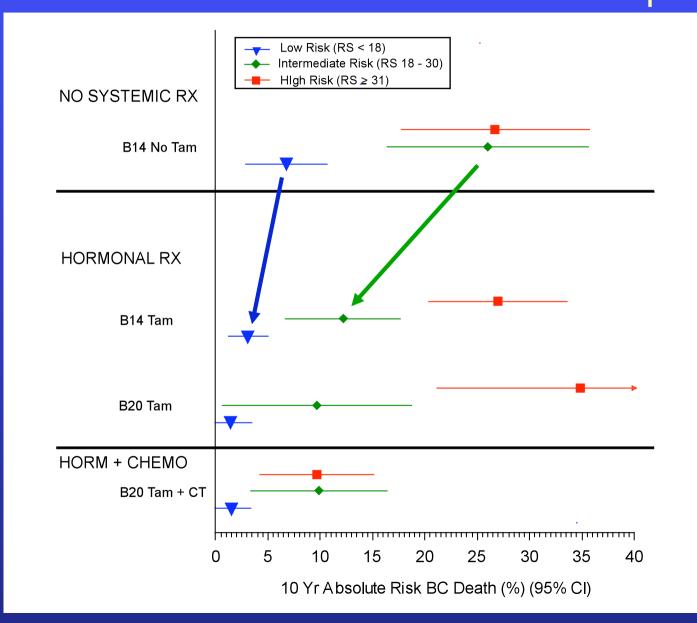
RS as a predictive factor for benefit from adjuvant chemotherapy: NSABP B-20



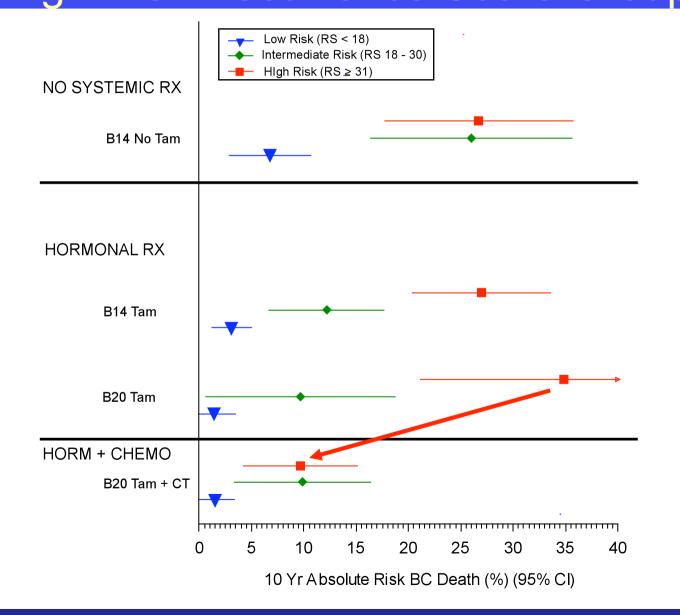
RS and Breast Cancer Death in NSABP B-14 and B-20



Largest Tamoxifen Benefit Observed in Low and Intermediate Recurrence Score Groups



Largest Chemotherapy Benefit Observed in High Risk Recurrence Score Group



NSABP B-20 Outcome by Recurrence Score

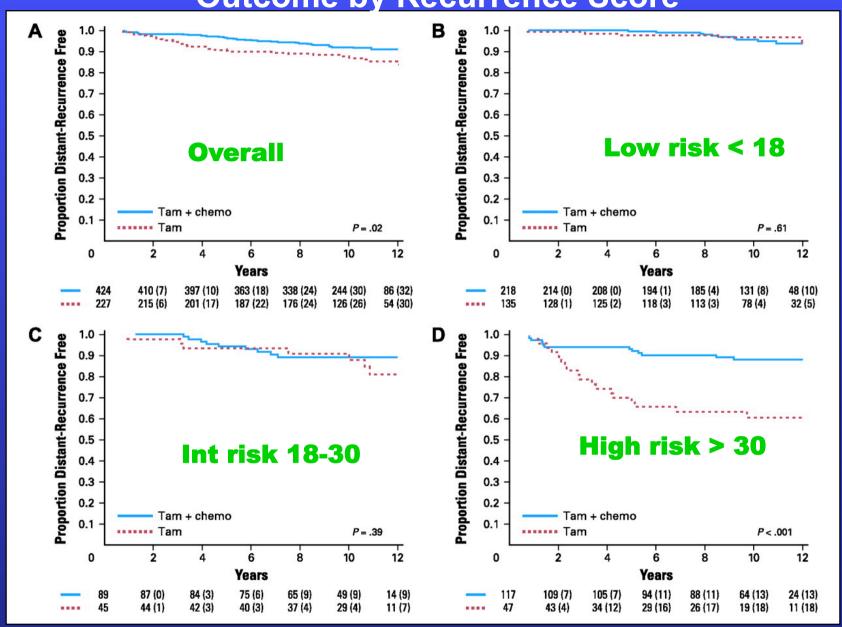
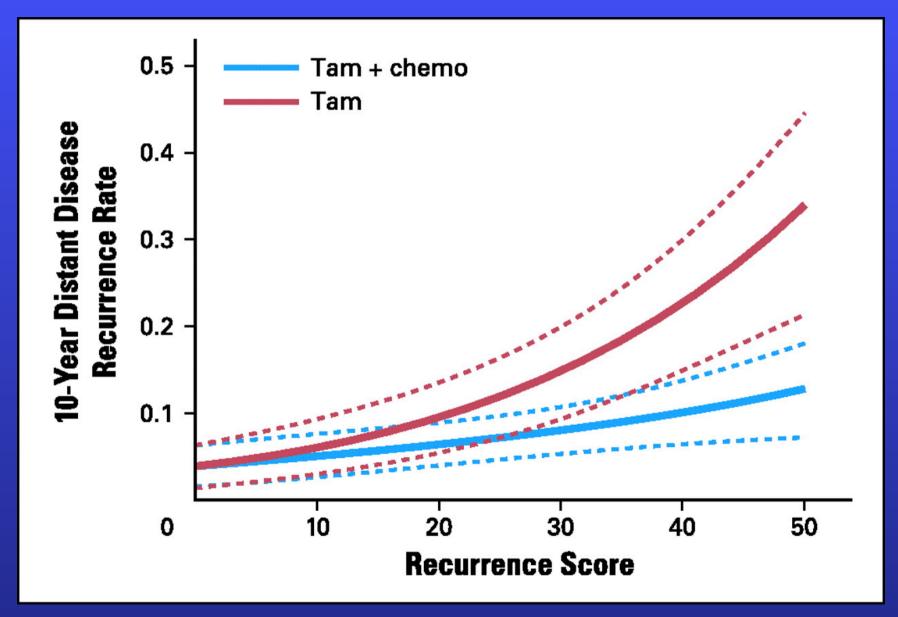


Fig 4. Linear fit of the likelihood of distant recurrence as a continuous function of recurrence score for the tamoxifen alone (TAM) and tamoxifen plus chemotherapy (TAM + chemo) treatment groups



Use of 21-Gene RT-PCR Test

- Limited to ER+ node negative disease
- Validated only in tamoxifen treated patients with first generation chemotherapy
- Most HER2-positive disease has high RS
- Major use therefore is in ER+, HER2negative, node negative disease.

21-Gene RT-PCR (OncotypeDX™)

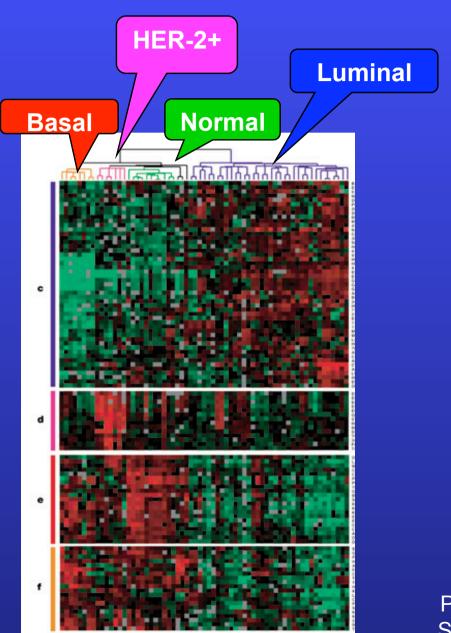
Pros

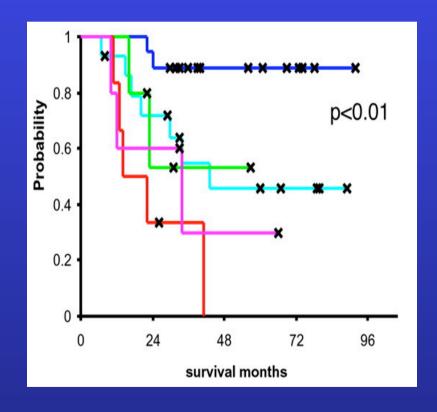
- Highly reproducible
- Quantitative
- Based primarily upon known prognostic/ predictive factors
- Utilizes paraffin embedded tissue

Cons

- Expensive
- Not clearly superior to assessment of ER/PR/ HER2/Grade/Size/etc
- Not US FDA approved

Molecular portraits of human breast tumors





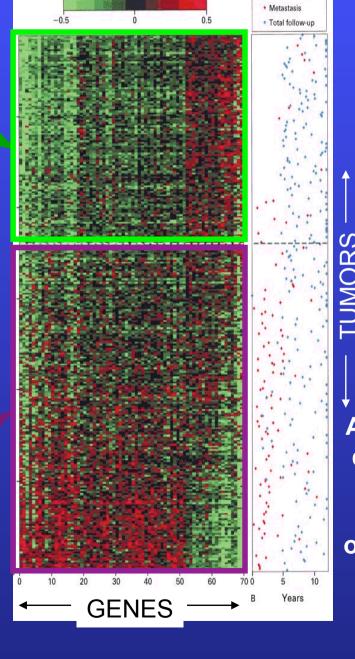
Perou, Sorlie, et al *Nature* **406:**747 2000 Sorlie, Perou et al, *PNAS* 98:10869 2001

Mammoprint

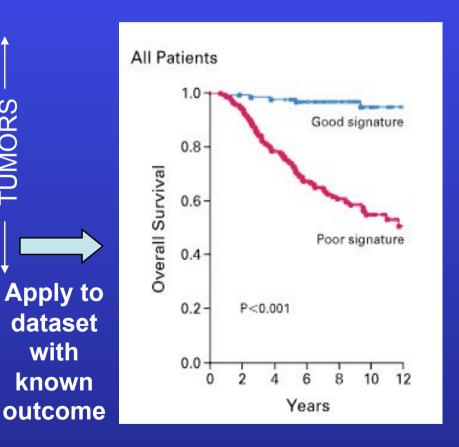
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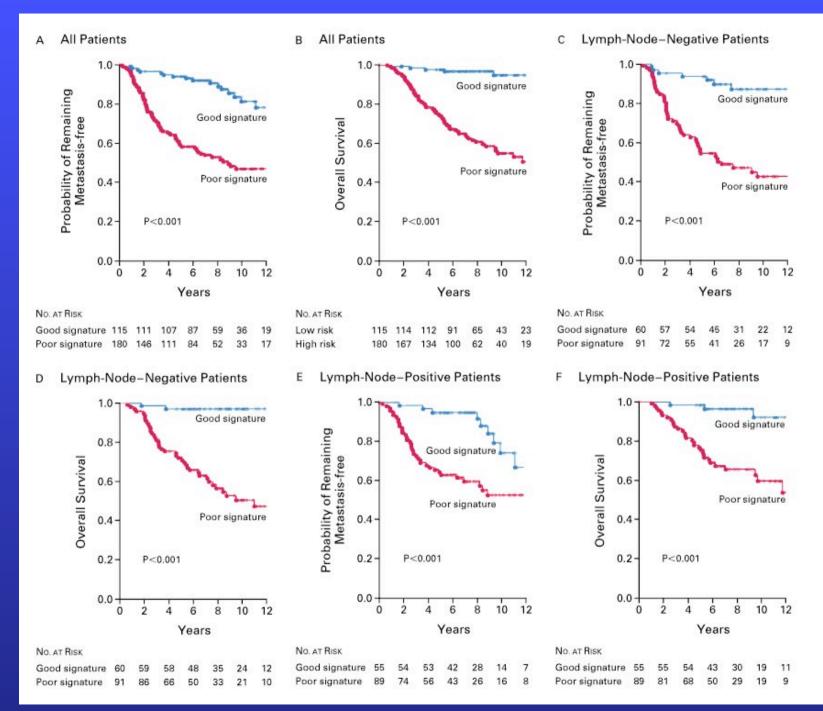
Good prognosis signature

Poor prognosis signature



Which genes are associated with...?





Mammoprint

- Pros
 - Appears prognostic
 - Widely separate groups
 - US FDA approved
- Cons
 - Currently requires fresh frozen tissue
 - Unknown regarding prediction
 - Expensive



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