



日本乳がん情報ネットワーク  
Japan Comprehensive Cancer Network, Breast (JCCNB)

# Luminal A and B

## Where are we?

(or lost in translation?)

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NKI-AVL





# How to determine adjuvant or neo- adjuvant treatment for Luminal A or Luminal B cancers? (as a surgeon)

**The Netherlands Cancer Institute**  
**Antoni Van Leeuwenhoek Hospital**  
**Amsterdam**

**NKI-AVL**





# Disclosures

- No financial interest in a pharmaceutical or diagnostic company
- Not a member of speakers bureau or advisory board
- I'm a surgical oncologist/breast cancer specialist with specific interest in translational research

## And some about us



The Netherlands Cancer Institute

# The clinical issue

- The prognosis of the patient.
- What is Luminal A?
- What is Luminal B?
- Or how to distinguish Luminal A vs B
- How to treat pts with Luminal A vs Luminal B cancers?  
Should treatment for Luminal A cancers be different from Luminal B cancers

Or....

Is it simpler?

- ER strong +ve & low risk: HT?
- ER +ve plus risk factor: HT + chemotherapy?



Where it all started....

# Molecular ('intrinsic') subtypes

**letters to nature**

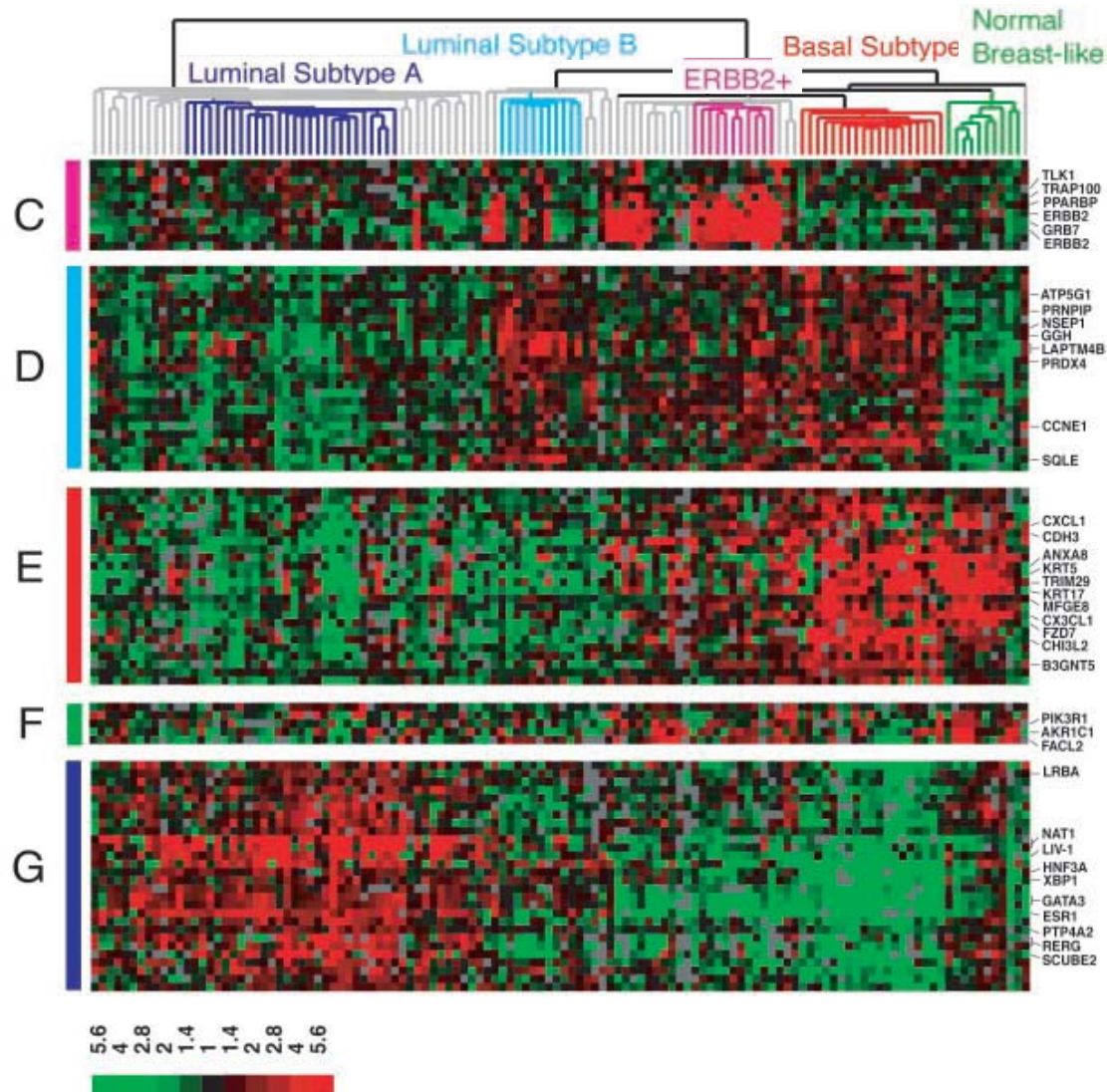
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## **Molecular portraits of human breast tumours**

**Charles M. Perou<sup>\*†</sup>, Therese Sørlie<sup>†‡</sup>, Michael B. Eisen<sup>\*</sup>,  
Matt van de Rijn<sup>§</sup>, Stefanie S. Jeffrey<sup>||</sup>, Christian A. Rees<sup>\*</sup>,  
Jonathan R. Pollack<sup>¶</sup>, Douglas T. Ross<sup>¶</sup>, Hilde Johnsen<sup>‡</sup>,  
Lars A. Akslen<sup>#</sup>, Øystein Fluge<sup>☆</sup>, Alexander Pergamenschikov<sup>\*</sup>,  
Cheryl Williams<sup>\*</sup>, Shirley X. Zhu<sup>§</sup>, Per E. Lønning<sup>\*\*</sup>,  
Anne-Lise Børresen-Dale<sup>‡</sup>, Patrick O. Brown<sup>¶††</sup> & David Botstein<sup>\*</sup>**

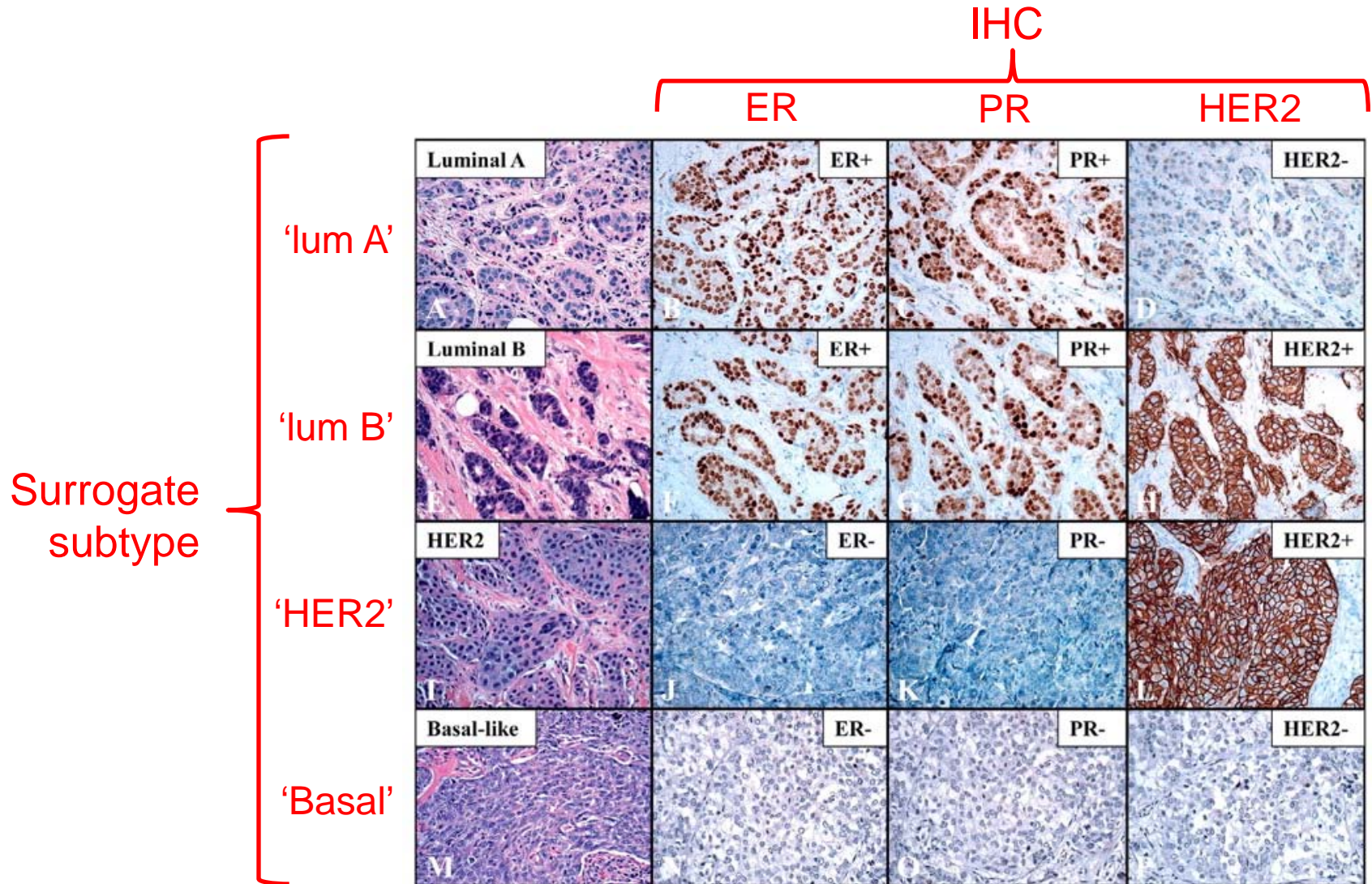
- Specimens from 65 tumors from 42 patients

# Molecular ('intrinsic') subtypes





# Immunohistochemistry ('surrogate subtypes')



# Molecular Subtypes provide insight on which therapies to select (St. Gallen, May 2011)

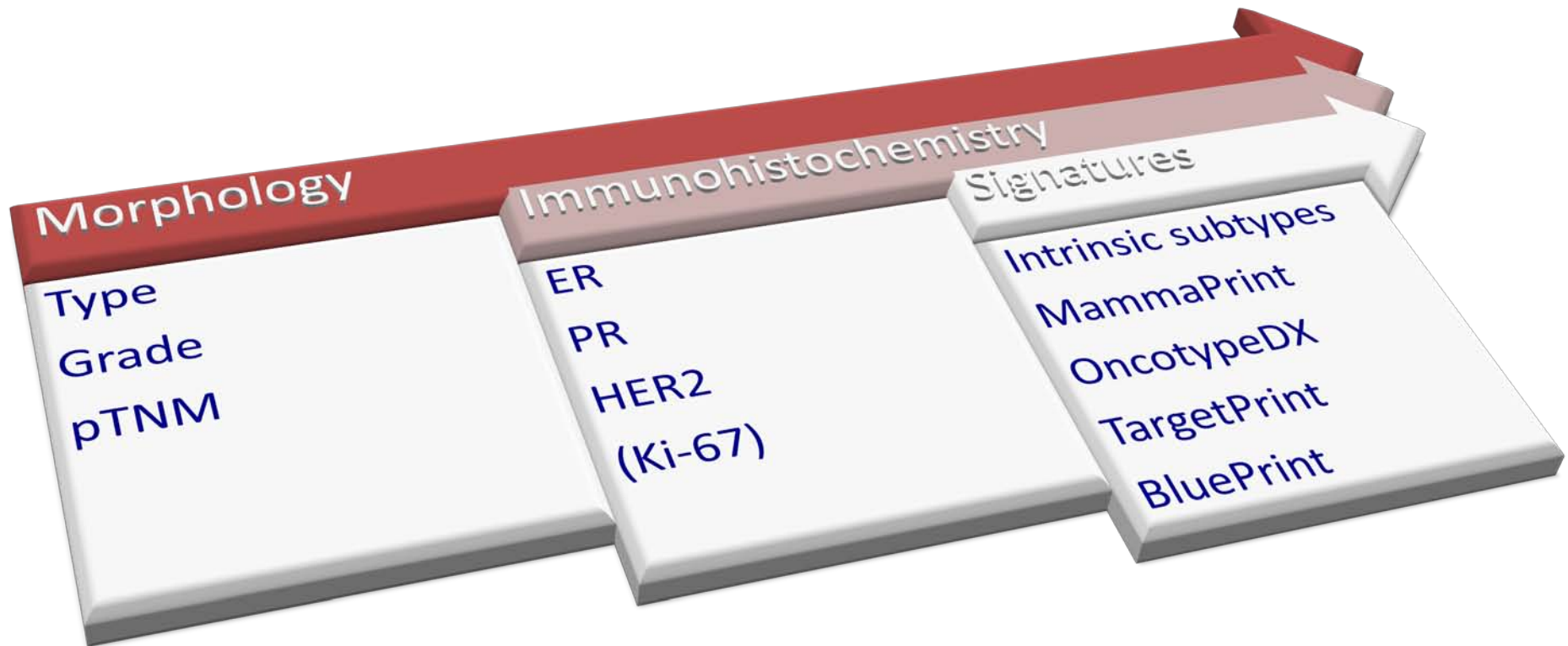
**Table 2** | 2011 St Gallen consensus recommendations of systemic treatment<sup>4</sup>

IHC Subtype	Definition	Type of adjuvant therapy
Luminal A	HR+/HER2-/Ki67low	Endocrine therapy alone*
Luminal B	HR+/HER2-/Ki67high	Endocrine therapy ± cytotoxic therapy
Luminal B	HR+/HER2+	Cytotoxics + anti-HER2 + hormonal therapy
HER2-positive	HR-/HER2+	Cytotoxics + anti-HER2 therapy
Triple-negative	HR-/HER2-	Cytotoxic therapy

\*A few patients require cytotoxics (such as high nodal status or other indicator of risk). Abbreviation: HR, hormone receptor.

# Classification of breast cancer

Potentials, limitations, challenges





# The clinical issue.

## Think step by step

Step 1: The very low risk cancer: is chemotherapy indicated anyway?

Step 2: Is ER+ve really ER +ve? (or: do you trust your specimen work up system?)

Step 3: If ER +ve is reliably proven, and there is some risk of relapse: adjuvant anti estrogen treatment

Step 4: What are the factors that justify adjuvant chemotherapy (luminal A > B)



# The clinical issue. Think step by step

## Step 1:

Is prognosis so good that survival advantage of adjuvant chemotherapy outweighs the disadvantages & serious late side effects?

# Clinical determinate cases

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## High Risk

ER negative  
Lymph Node positive  
HER2 positive  
Grade III  
Larger tumor size

## Low Risk

ER positive  
Lymph Node negative  
HER2 negative  
Grade I  
Small tumor size

Half of our patients are somewhere in between!  
What to do?

# Interobserver agreement morphology and IHC

- Kappa statistics local vs. central assessment
  - Tumor type 0.56
  - Grade 0.50
  - ER 0.85
  - PR 0.72
  - HER2 0.81

**Degree of agreement:**

0.00-0.20	slight
0.21-0.40	fair
0.41-0.60	moderate
0.61-0.80	substantial
0.81-1.00	(almost) perfect

# Is determinate always determinate?

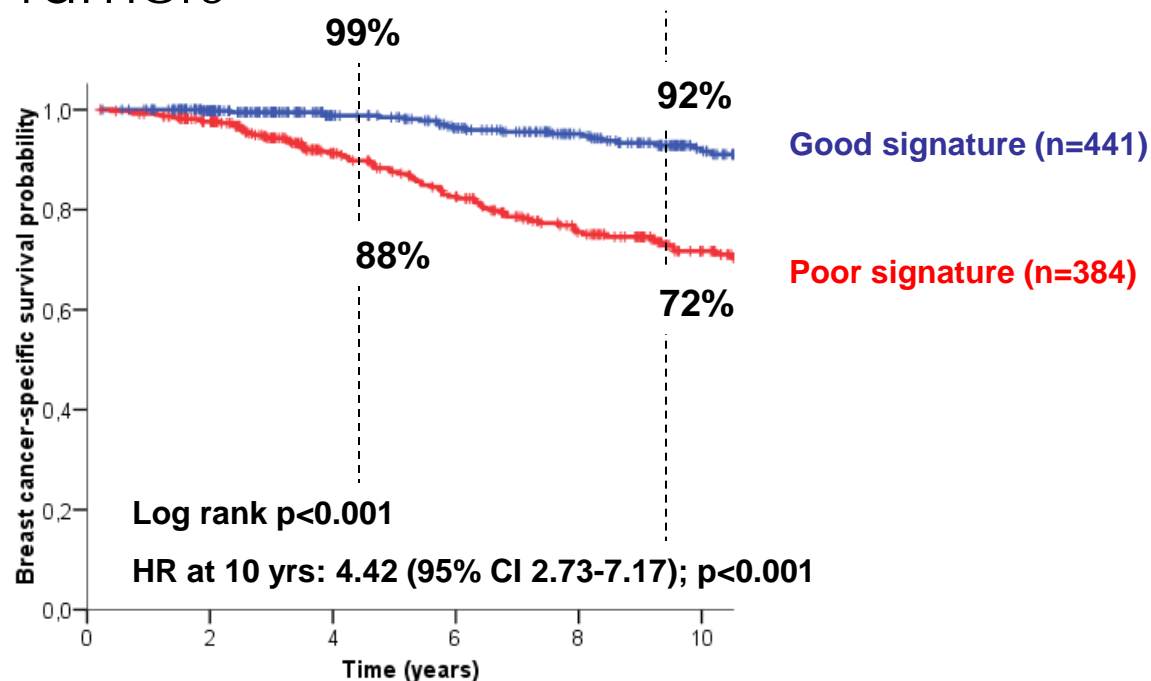
## Some examples

- Small cancers good prognosis?
- Grade 1 good prognosis?
- There is an important and reproducible discordance between clinical-pathological risk estimates compared to newer techniques by tumor profiling



# MammaPrint and Tumorsize T1c BCSS

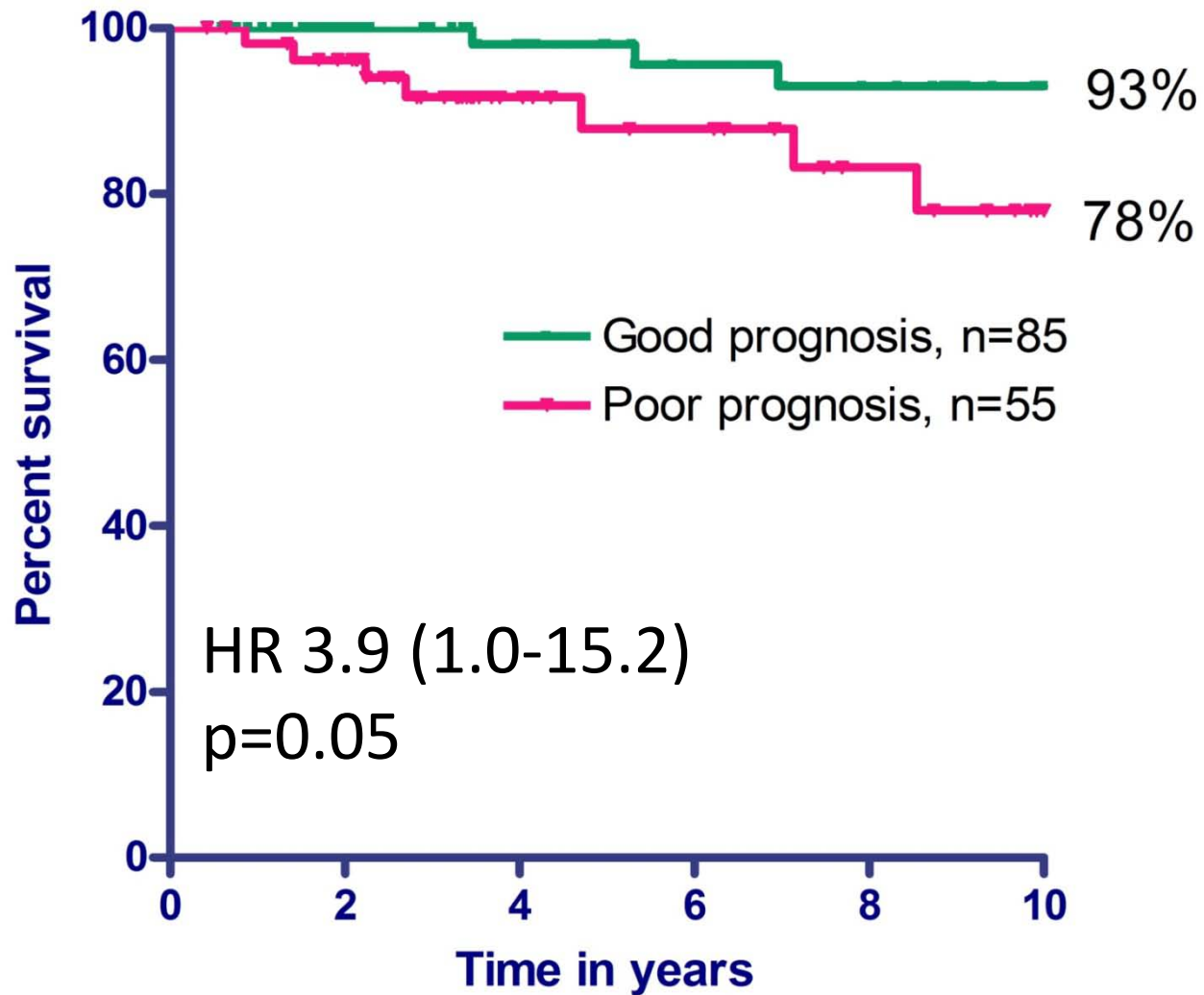
11 – 22 mm Tumors



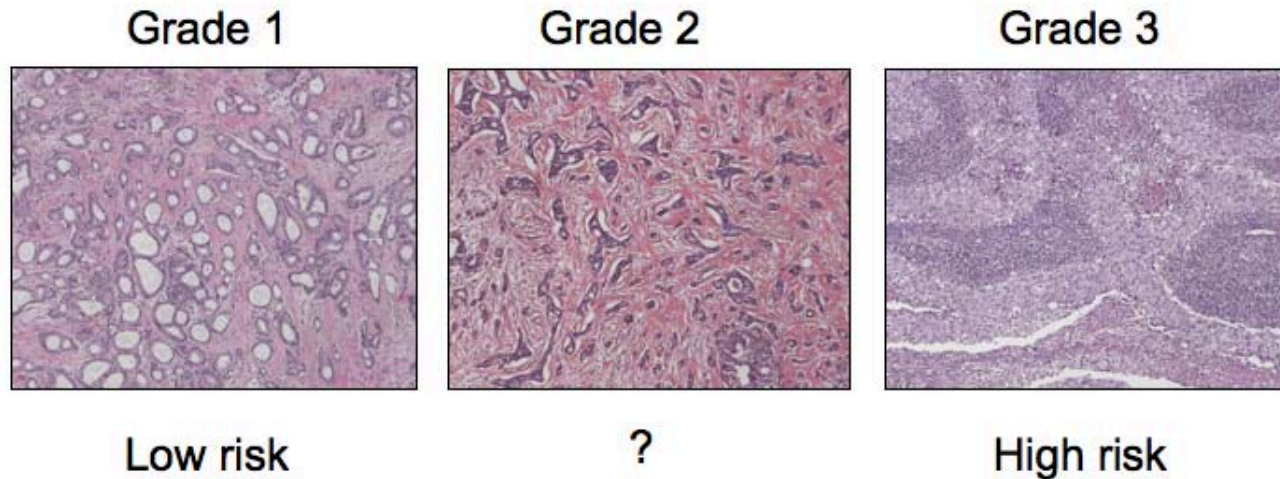
Good	441	392	294	261	218	154
Poor	384	350	256	215	166	114

T1c tumors derived from pooled database of all MammaPrint validation studies (all, n=1696)

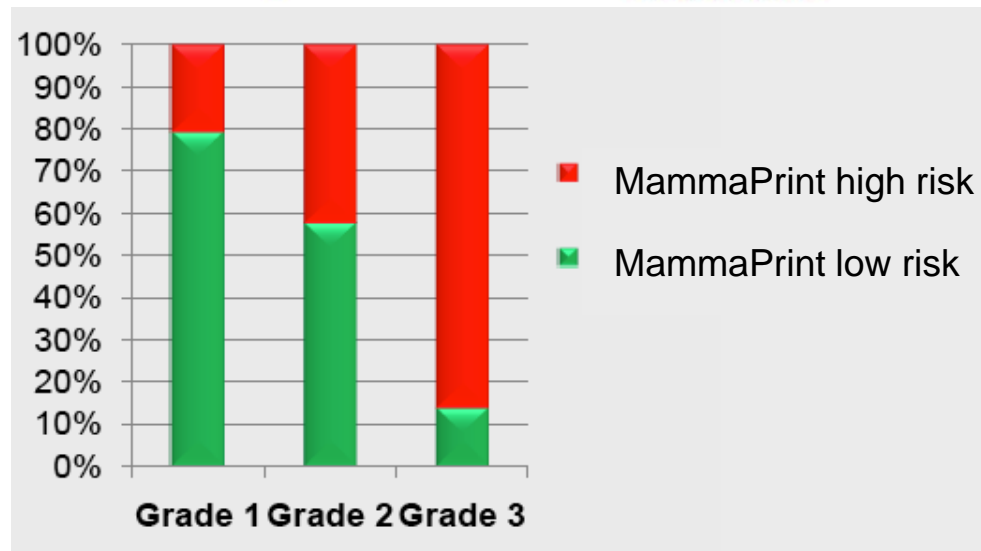
**DDFS: T1 a/b  
(n=140)**



# MammaPrint adds to grading of breast cancer

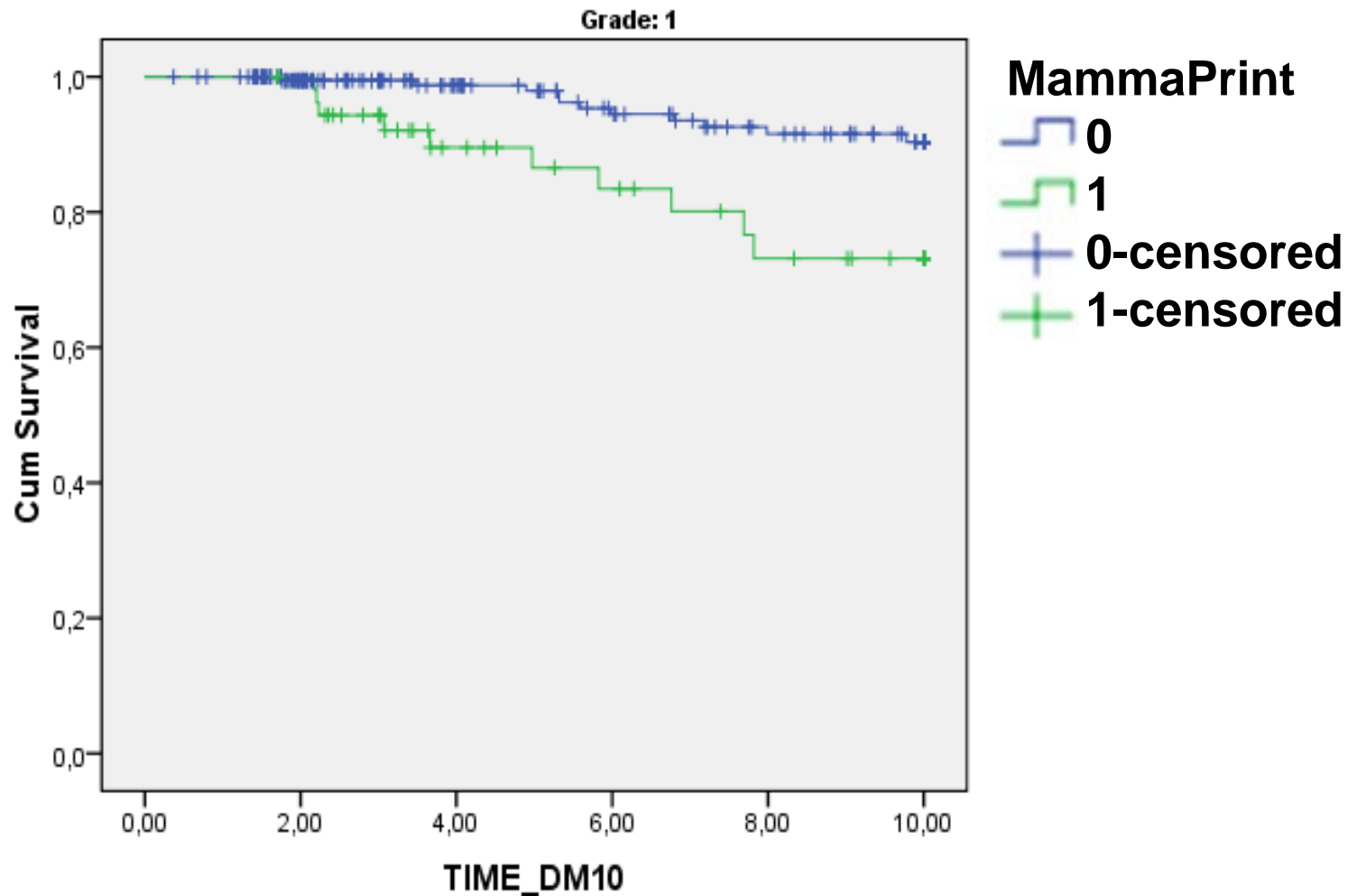


764 of 1630 patients (47%) were classified as good prognosis and 866 (53%) as poor prognosis by MammaPrint  
Histological grading was centrally reviewed for all patients



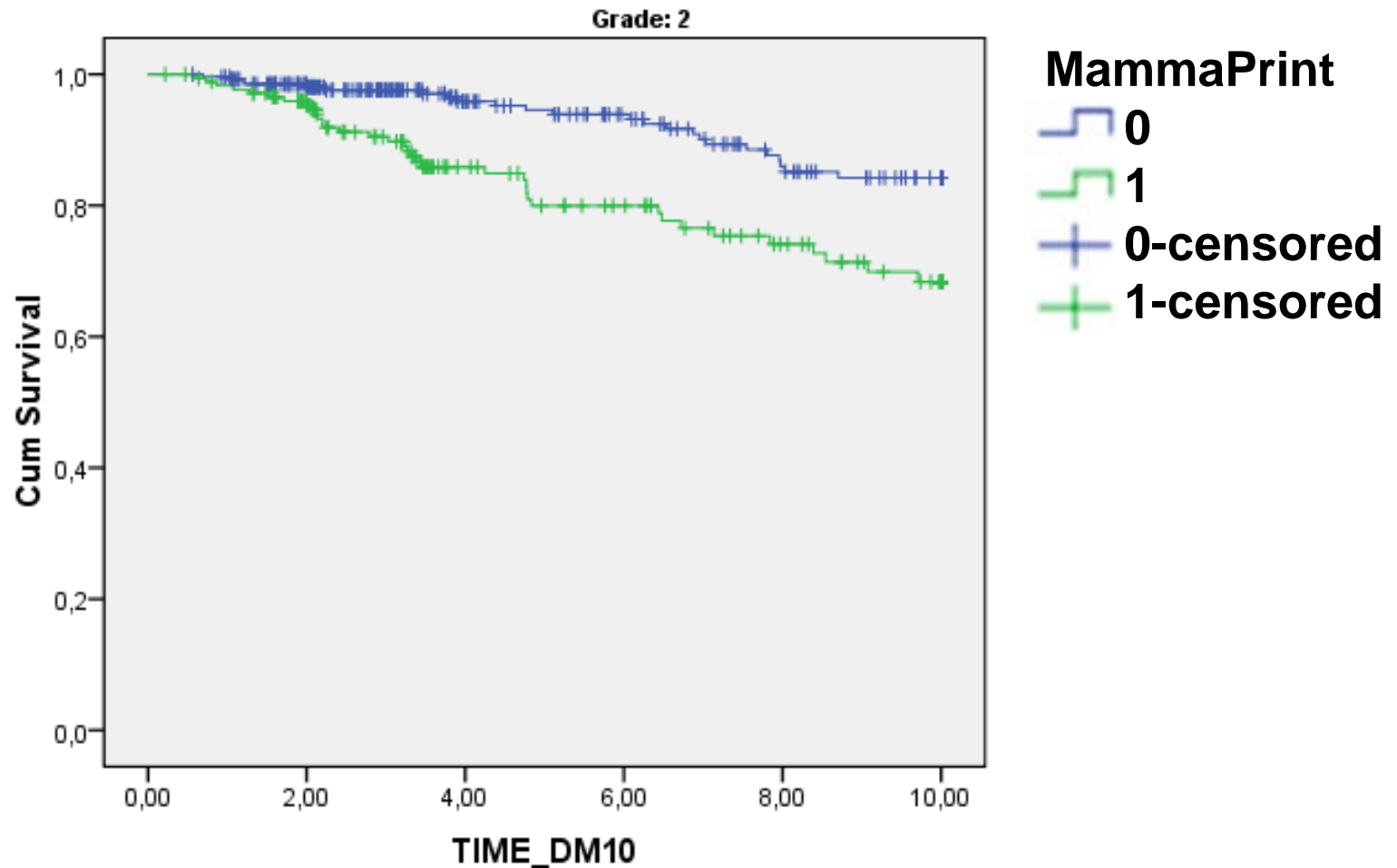
# DDFS N -ve

## Survival Functions



# DDFS N-ve

## Survival Functions

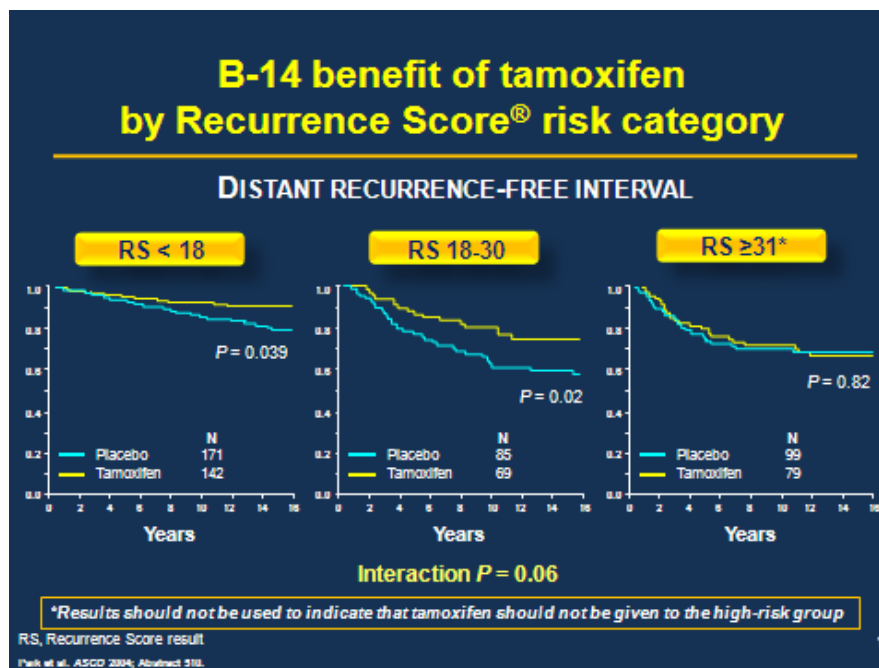


Clin-path risk and 70-gene risk at enrollment		Clinical-pathological risk		Total
		LOW N(%)	HIGH N(%)	
70-gene risk	LOW	2586 (40)	1436 (22)	4022 (62)
	HIGH	678 (10)	1827 (28)	2505 (38)
Total		3264 (50)*	3263 (50)*	N=6527

Discordant cases (10 + 22 = 32%) match protocol hypothesis

The absolute difference between C-HIGH / G-LOW and C-LOW / G-HIGH is 11.6%

# Oncotype DX and low risk



B-14 Data NSABP	Untreated Population	Treated Population
Breast Cancer Mortality	(95%CI, 355 pat)	(85%CI) (290)
<b>Low Risk</b> (RS<18) (313 pat)	<b>14.1%</b> (19.5%, 8.64%) (171 pat)	<b>6.9%</b> (11.2%, 2.5%) (142 pat)
Int Risk (RS 18-30) (154 pat)	37.8% (48.9%, 26.8%) (85 pat)	20.5% (30.4%, 10.5%) (69 pat)
High Risk (RS≥31) (178 pat)	31.3% (40.9%, 21.8%) (99 pat)	29.7% (40.2%, 19.3%) (79 pat)

70-Gene signature (MammaPrint) prospectively predicts prognosis of patients with node-negative breast cancer: 5 year follow-up of the

**RASTER study**

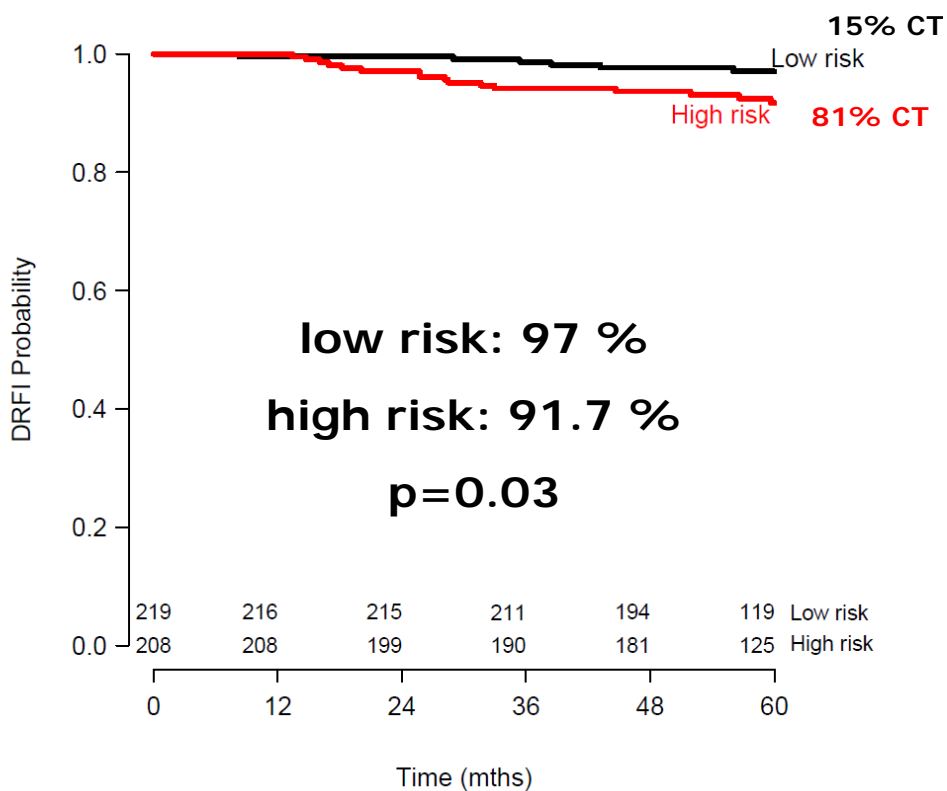
S.C. Linn, C.A. Drukker, V.P. Retèl, J.M. Bueno-de-Mesquita, W.H. van Harten, H. van Tinteren, J. Wesseling, L.J. van 't Veer, E.J.T. Rutgers, M.J. van de Vijver



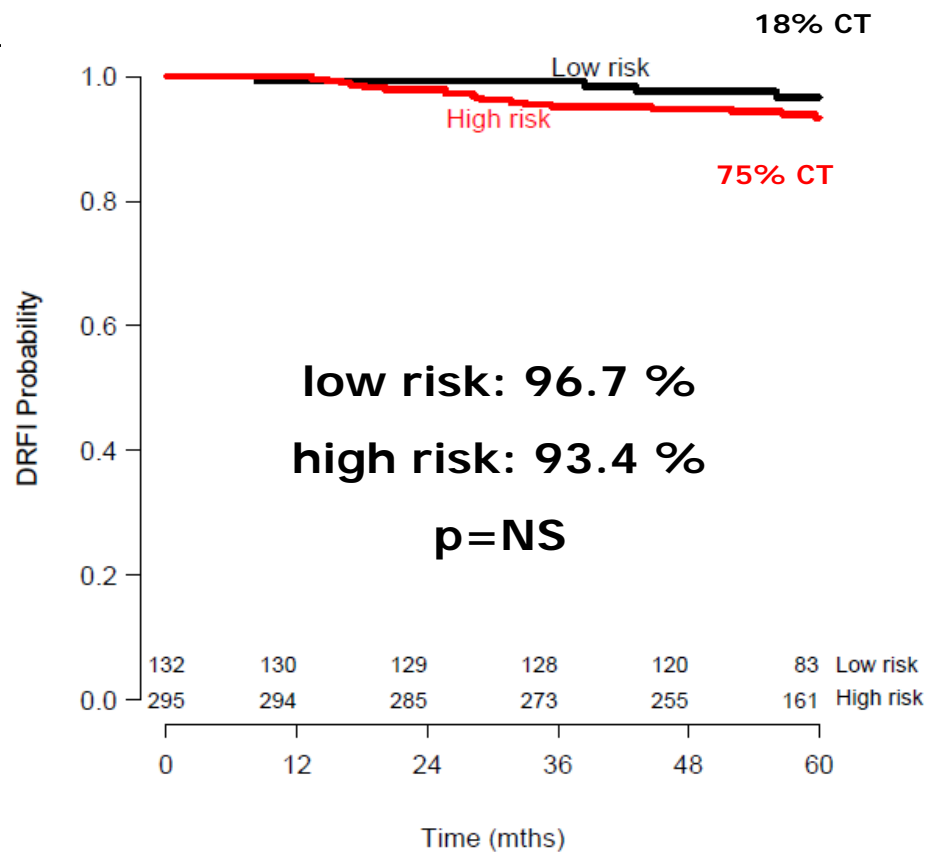
# 5-year distant recurrence-free interval



## MammaPrint

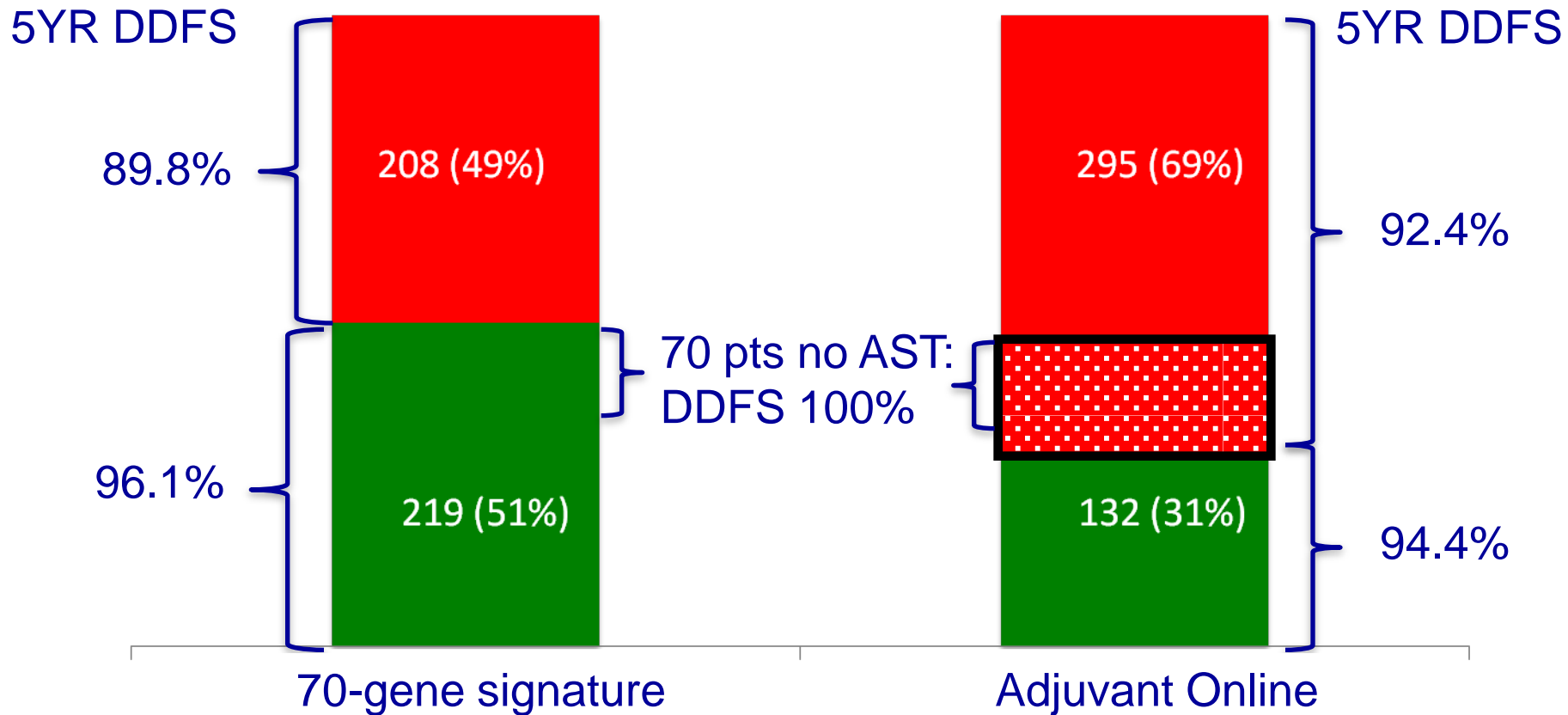


## AOL

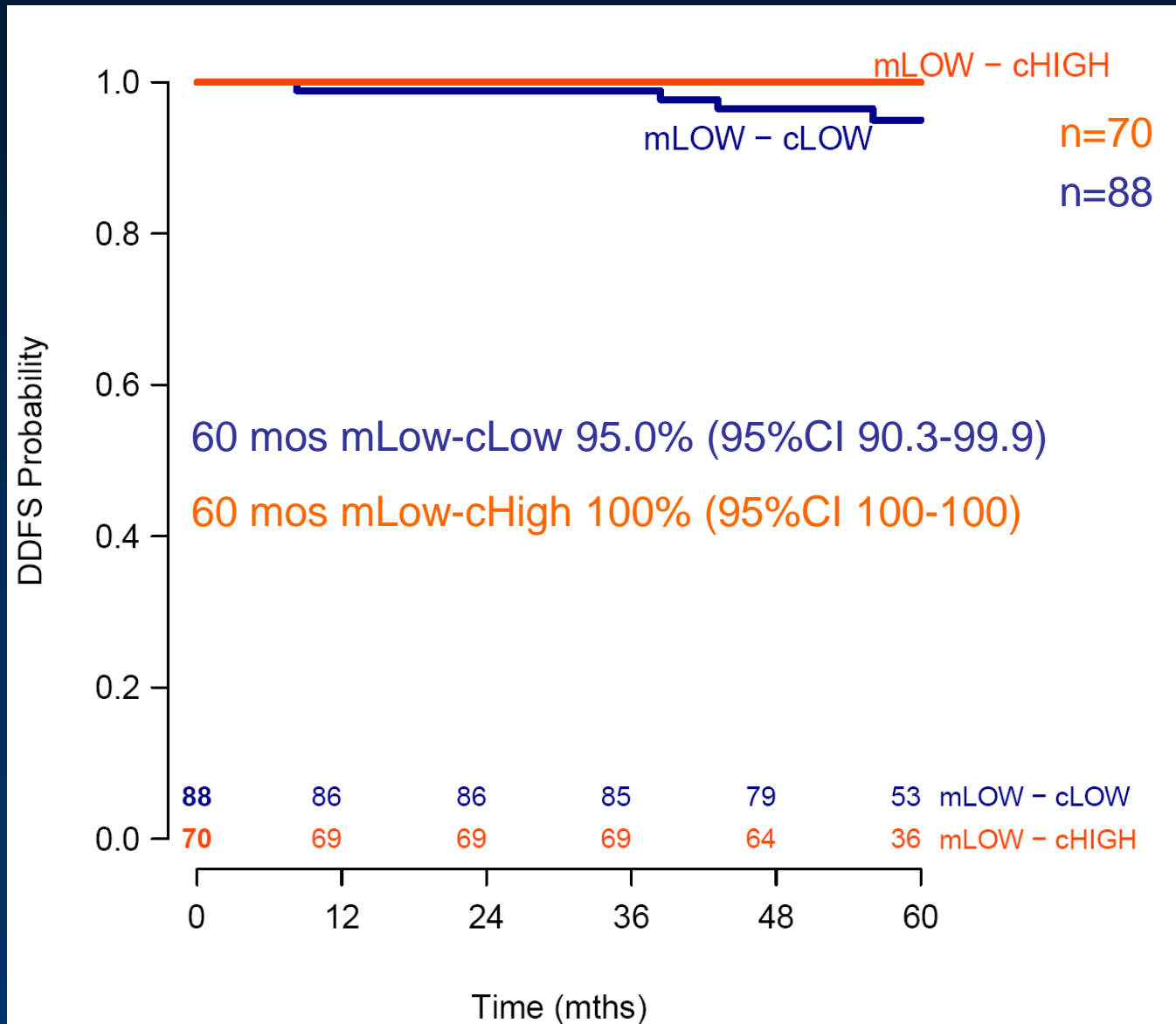


# MammaPrint in observational prospective trial

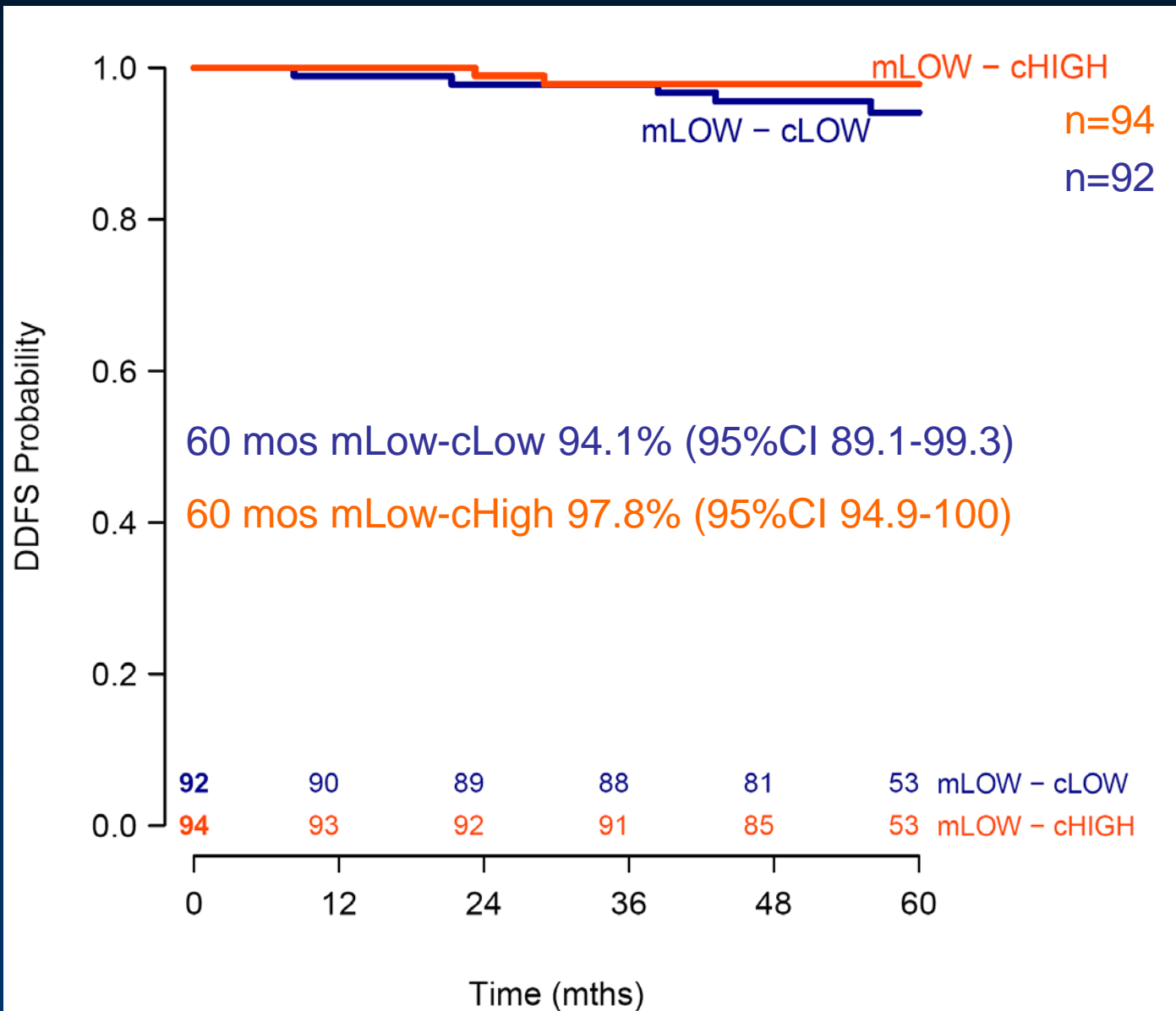
RASTER study, 5-year DDFS of 427 patients according to 70GPS or AOL



# Discordant cases who received no AST

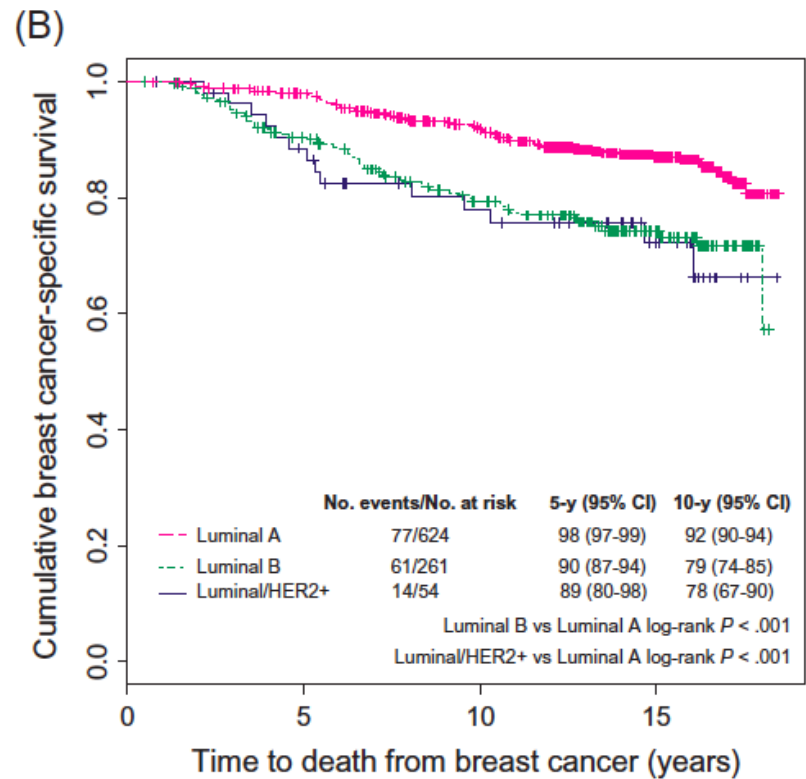
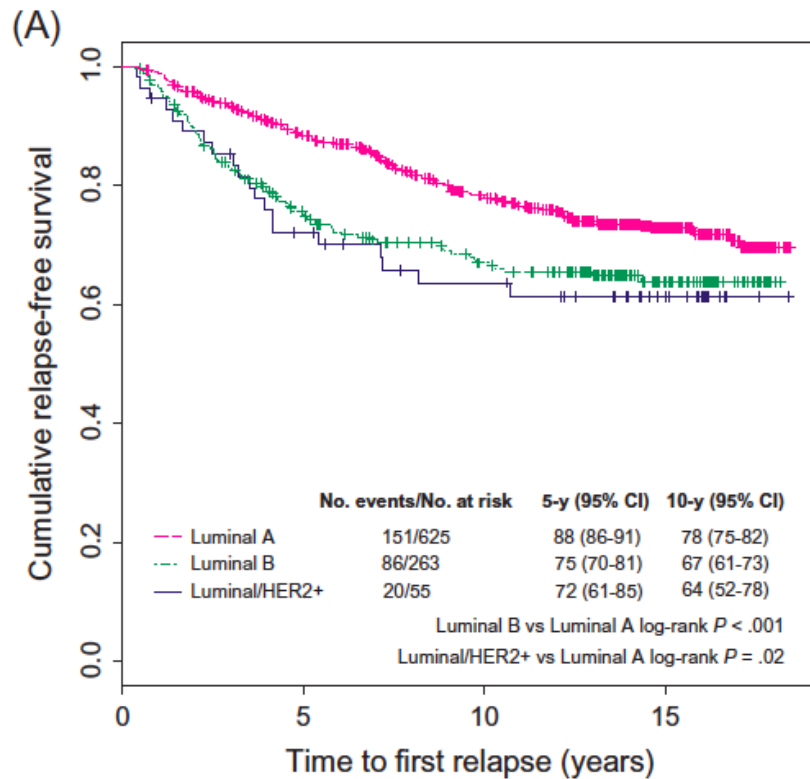


# Discordant cases who received no AST or endocrine therapy only



# Role of Ki-67

## RFS luminal A vs. B based on Ki-67



## COMMENTARY

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# **Assessment of Ki67 in Breast Cancer: Recommendations from the International Ki67 in Breast Cancer Working Group**

Mitch Dowsett, Torsten O. Nielsen, Roger A'Hern, John Bartlett, R.Charles Coombes, Jack Cuzick, Matthew Ellis, N.Lynn Henry, Judith C. Hugh, Tracy Lively, Lisa McShane, Soon Paik, Frederique Penault-Llorca, Ljudmila Prudkin, Meredith Regan, Janine Salter, Christos Sotiriou, Ian E. Smith, Giuseppe Viale, Jo Anne Zujewski, Daniel F. Hayes

Manuscript received March 14, 2011; revised September 1, 2011; accepted September 2, 2011.

# Mitch Dowsett (Mr. Ki-67):

- Ki-67 may identify luminal class with a cut-off level of 13.25% proposed to distinguish poorer prognosis luminal B cancers from luminal A
  - Lack of between laboratory standards limiting application as a surrogate marker
- Standardized methodologies for Ki-67 are lacking
  - ASCO Tumor Marker Guidelines Committee: clinical utility of Ki-67 insufficient to recommend routine use for prognostic purposes
  - In 2011, the International Ki-67 in Breast Cancer Working Group published recommendations for Ki-67 assessment in breast cancer

- Cut points for prognosis, prediction, and monitoring should only be applied if the results from local practice have been validated against those in studies that have defined the cutoff for the intended use of the Ki67 result.

**Box 1. Recommendations for Ki67 assessment in breast cancer**

Preanalytical

- Core-cut biopsies and whole sections from excision biopsies are acceptable specimens; when comparative scores are to be made, it is preferable to use the same type for both samples (eg, in presurgical studies).
- TMAs are acceptable for clinical trial evaluation or epidemiological studies of Ki67.
- Fixation in neutral buffered formalin should follow the same guidelines as published for steroid receptors (39,40).
- Once prepared, tissue sections should not be stored at room temperature for longer than 14 days. Results after longer storage must be viewed with caution.

Analytical

- Known positive and negative controls should be included in all batches; positive nuclei of nonmalignant cells and with mitotic figures provide evidence of the quality of an individual section.
- Antigen retrieval procedures are required. The best evidence supports the use of heat-induced retrieval most frequently by microwave processing.
- The MIB1 antibody is currently endorsed for Ki67.

Interpretation and scoring

- In full sections, at least three high-power (x40 objective) fields should be selected to represent the spectrum of staining seen on initial overview of the whole section.
- For the purpose of prognostic evaluation, the invasive edge of the tumor should be scored.
- If pharmacodynamic comparisons must be between core cuts and sections from the excision, assessment of the latter should be across the whole tumor.
- If there are clear hot spots, data from these should be included in the overall score.
- Only nuclear staining is considered positive. Staining intensity is not relevant.
- Scoring should involve the counting of at least 500 malignant invasive cells (and preferably at least 1000 cells) unless a protocol clearly states reasons for fewer being acceptable.
- Image analysis methods for Ki67 remain to be proven for use in clinical practice.

Data handling

- The Ki67 score or index should be expressed as the percentage of positively staining cells among the total number of invasive cells in the area scored.
- Statistical analysis should take account of the log-normal distribution generally followed by Ki67 measurement.
- The most appropriate endpoint in comparative studies of treatment efficacy or response is the percentage suppression of Ki67-positive cells.
- The most appropriate endpoint for assessing residual risk of recurrence is the on-treatment proportion of Ki67-positive cells.
- Cut points for prognosis, prediction, and monitoring should only be applied if the results from local practice have been validated against those in studies that have defined the cutoff for the intended use of the Ki67 result.



# The clinical issue.

## Think step by step

Step 1:

Is prognosis so good that survival advantage of adjuvant chemotherapy outweighs the disadvantages & serious late side effects?

Can we select those patients?

My conclusion:

- on the basis of standard clinical-pathological data only a few. Ki-67 is of limited help, only in the extremes
- You need to add extra information on the molecular tumor biology of the primary to be able to select a larger proportion (40% vs 10% of early node negative ER +ve breast cancers)



# The clinical issue. Think step by step

Step 2:

Is ER +ve really ER +ve?

Or:

- How reliable is your ER IHC scoring?
- Where is the cut-off?

# Stages of IHC testing



- Transport
- Secretary support
- Tissue, type, and dimension
- Decalcification
- Preparation
- Fixation
  - Time, Type, Volume
- Section
  - Thickness
  - Storage & Drying

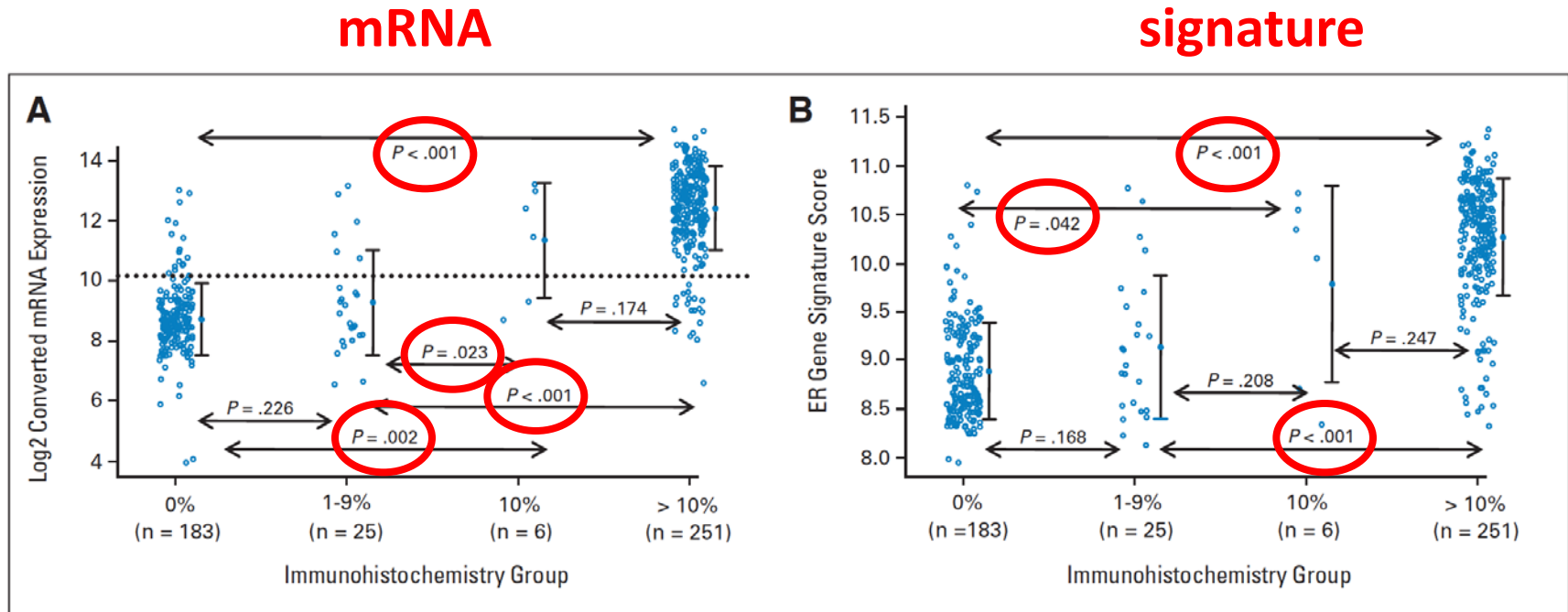
- Antigen retrieval
- Primary antibody
  - Clone
  - Dilution
  - Buffer
  - Time
  - Temperature
- Manual vs. Automated
- Development
- Visualization
- Sensitivity
- Specificity

- Interpretation
- Localization
- Cut-off
- Quantification
- Reporting
- Secretary support!
- Control
  - Internal
  - External
- Quality assessment

# The perfect test is non-existent

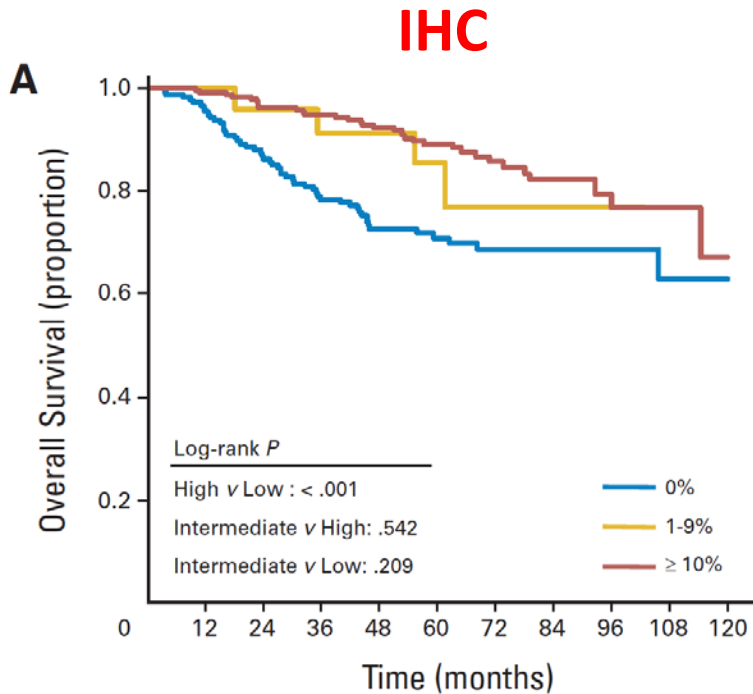
- No 100% sensitivity
- No 100% specificity

# ER-status based on IHC, mRNA, and signature (n=456 FNAs)



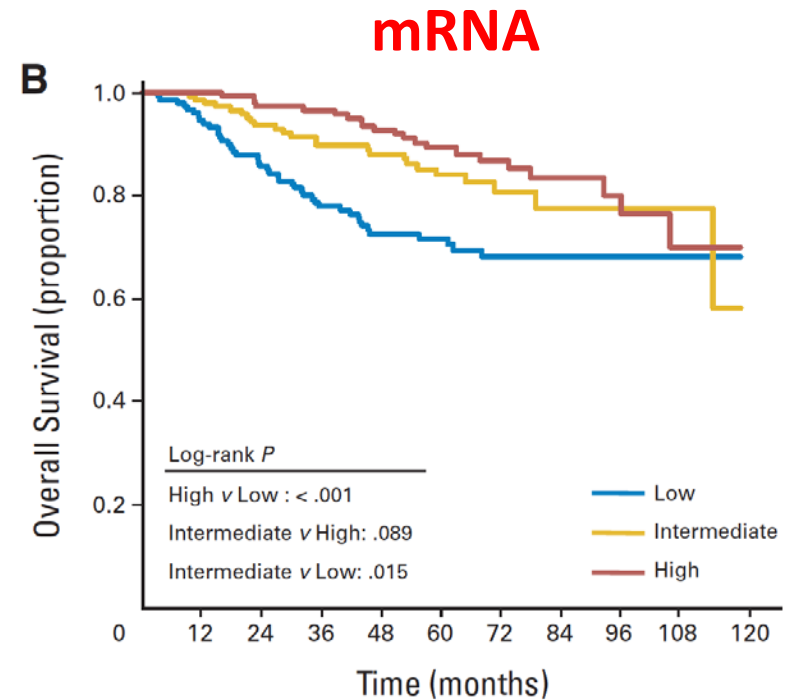
**Fig 1.** Estrogen receptor (ER) mRNA and ER-associated gene expression in four distinct immunohistochemistry groups. Immunohistochemistry groups were defined by the percentage of cells that were positive for nuclear ER staining. (A) Expression distribution of *ESR1* mRNA. (B) ER-associated gene signature refers to the average expression of 106 probe sets that are highly coexpressed with *ESR1*.<sup>13</sup> *P* values were calculated with the Wilcoxon test.

# IHC and mRNA ER-status and OS



No. at risk

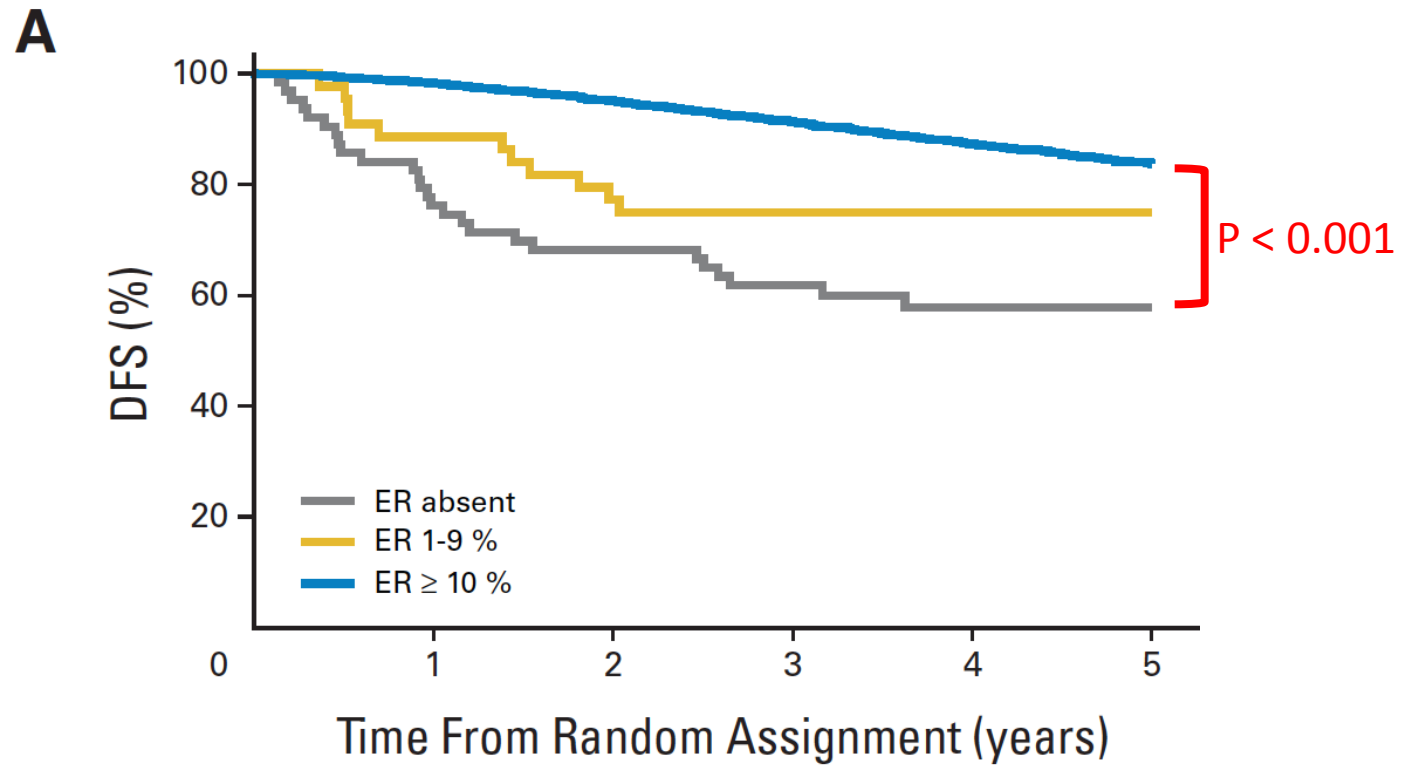
0%	179	168	147	128	107	75	47	29	17	10	4
1-9%	24	24	22	20	20	10	7	2	1		
≥ 10%	243	237	226	206	182	134	87	49	28	14	4



No. at risk

Low	149	140	123	107	93	66	42	25	14	10	4
Intermediate	149	143	130	114	99	71	41	18	10	5	2
High	148	146	142	133	117	82	58	37	22	9	2

# DFS and ER-status in BIG 1-98 trial



Central ER	No. at risk					
ER absent	63	48	43	34	28	22
ER 1-9%	44	39	34	27	24	20
ER ≥ 10%	3,489	3,424	3,294	2,548	1,546	938

# TargetPrint as second opinion

## High concordance of protein (by IHC), gene (by FISH; HER2 only) and microarray readout (by TargetPrint) of ER/PR/HER2: results from the MINDACT trial

Giuseppe Viale<sup>1</sup>, Jan Bogaerts<sup>2</sup>, Leen Slaets<sup>2</sup>, Laura van't Veer<sup>3</sup>, Emiel Rutgers<sup>3</sup>, Martine Piccart<sup>4</sup>, Femke de Snoo<sup>5</sup>, Kristel Engelen<sup>2</sup>, Leila Russo<sup>1</sup>, Patrizia Dell'Orto<sup>1</sup>, Jeroen van den Akker<sup>5</sup>, Annuska Glas<sup>5</sup>, Fatima Cardoso<sup>6</sup>  
on behalf of the **TRANSBIG Consortium** & the **MINDACT investigators**

1. European Institute of Oncology and University of Milan, Milan, Italy; 2. European Organisation of Research and Treatment of Cancer, Brussels, Belgium; 3. Netherlands Cancer Institute, Amsterdam, the Netherlands; 4. Institute Jules Bordet, Brussels, Belgium; 5. Agendia, Amsterdam, the Netherlands; 6. Champalimaud Cancer Center, Lisboa, Portugal

### Background

This study was undertaken to further determine the correlation of microarray readout by TargetPrint with IHC/FISH assessment both locally and centrally determined in the 1st 800 pts enrolled in the MINDACT trial (Rutgers et al. 2011, EJC). This work is essential to determine the quality of biological data in the two risk assessment methods used in MINDACT based upon which adjuvant chemotherapy decision is made, in order to exclude bias.

### Data overview

	local assessment	central review	TargetPrint
ER <sup>+</sup>	674	527	672
ER <sup>-</sup>	126	82	128
missing	0	181 <sup>a</sup>	0
PR <sup>+</sup>	570	490	523
PR <sup>-</sup>	228	129	277
missing	2	181 <sup>a</sup>	0
HER2 <sup>+</sup>	82	74	79
HER2 <sup>-</sup>	680	540	721
missing	28	186 <sup>a</sup>	0

### Methods

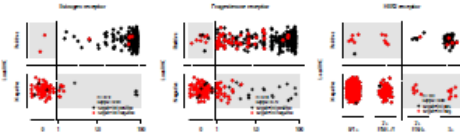
- ER/PR/HER2 IHC assessment was performed on the primary breast cancers of the first 800 pts enrolled in the MINDACT study.
- Local assessment at each center (n=800)
- Central review at the EIO (n=626)
- Central ER/PR: Threshold at 1% positive staining
- Local ER/PR: Threshold at 1% positive staining or Allred >2 or ≥ 20 floccles
- Threshold for HER2+ was 10% or more positive staining
- HER2+ cases: FISH for final HER2 status
- Gene expression for ER, PR and HER2 was obtained by TargetPrint (Roosman et al., CCR, 2009) (n=800)

### Results

#### Local pathology assessment with central review

Comparison of local assessment (IHC & FISH for HER2) with central review (n=626) indicated highly similar results for receptor readout with a concordance of 98% (k=0.90) for ER; and 96% for HER2 (k=0.80) and slightly lower for PR (90% (k=0.72)).

	central ER			central PR			central HER2		
local IHC	pos	nag	pt	pos	nag	pt	pos	nag	pt
pos	524	2	526	438	12	450	51	6	57
nag	13	80	93	52	116	168	7	6	13



The concordance for ER and HER2 shows the high quality of pathology assessments in the participating MINDACT hospitals. Please note however, discordance ranges up to 12% for PR and 10% for HER2.

	Concordance (95% CI)	Agreement (95% CI)	K
ER	98% (96-99%)	97% (95-99%)	0.90
PR	90% (87-92%)	72% (68-76%)	0.72
HER2	96% (94-97%)	87% (83-91%)	0.80

	ER	PR	HER2
positive agreement	526/527 = 99%	438/490 = 89%	51/57 = 89%
negative agreement	680/682 = 98%	118/129 = 91%	596/621 = 96%
NPV	89% - 90%	89% - 90%	89% - 90%

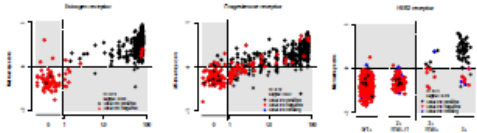
Discordance ranges	ER	PR	HER2
1.6% - 6.7%			
5.7% - 12.1%			
0.0% - 10.0%			

### Results

#### Central review with microarray readout by TargetPrint

Comparison of central review (n=626) with microarray readout by TargetPrint indicated highly similar results for receptor readout with a concordance of 98% (k=0.90) for ER; and 96% for HER2 (k=0.78) and lower for PR (85% (k=0.62)).

	central ER			central PR			central HER2		
TargetPrint IHC	ER	pos	nag	PR	pos	nag	HER2	pos	nag
pos	525	3	528	408	11	419	47	6	53
nag	12	70	82	118	7	125	14	7	21



The positive and negative agreement of ER indicates TargetPrint to be a very stable and reliable assay for ER. PR concordance is lower. For HER2 the positive agreement indicates mRNA readout to be different from protein readout.

	Concordance (95% CI)	Agreement (95% CI)	K
ER	98% (96-99%)	97% (95-99%)	0.90
PR	85% (82-88%)	67% (63-71%)	0.62
HER2	96% (94-97%)	87% (83-91%)	0.78

	ER	PR	HER2
positive agreement	525/527 = 99%	408/490 = 83%	53/54 = 98%
negative agreement	70/82 = 85%	118/129 = 91%	52/540 = 96%
NPV	89% - 90%	89% - 90%	89% - 90%

### Acknowledgements

This trial has funding grants from the European Commission Framework Programme VI (FP6-LSHM-CT-2004-018424), the Breast Cancer Research Association, NCI, the American Society of Human Genetics, the National Cancer Institute (NCI), the Breast Cancer Research Group, the American Memorial Sloan-Kettering Cancer Center, the Netherlands Cancer Institute (NKI), the Dutch Cancer Society (Dutch Cancer Society (DACS)), the Association for Cancer Research (UK), the European Society for Medical Oncology (ESMO), the German Cancer Research Society (DKFZ), the Italian Cancer Research Center (IRC-CNR), the Japanese Cancer Society (JCS), the Korean Cancer Society (KCS), the Spanish Cancer Society (ISCIII), the Swedish Cancer Society (SISU), the Swiss Cancer Society (SKF), the Austrian Cancer Society (OeGON), the Portuguese Cancer Society (CCP), the Russian Cancer Society (RCS), the Turkish Cancer Society (TSCS), the Polish Cancer Society (PPC), the Slovenian Cancer Society (SLOCS), the Croatian Cancer Society (CCS), the Czech Cancer Society (CCS), the Slovak Cancer Society (SCS), the Hungarian Cancer Society (HCS), the Serbian Cancer Society (SCS), the Montenegrin Cancer Society (MCS), the Macedonian Cancer Society (MCS), the Bulgarian Cancer Society (BCS), the Romanian Cancer Society (RCS), the Ukrainian Cancer Society (UCS), the Belarusian Cancer Society (BCS), the Moldovan Cancer Society (MCS), the Latvian Cancer Society (LCS), the Lithuanian Cancer Society (LCS), the Estonian Cancer Society (ECS), the Slovenian Cancer Society (SLOCS), the Croatian Cancer Society (CCS), the Czech Cancer Society (CCS), the Slovak Cancer Society (SCS), the Hungarian Cancer Society (HCS), the Serbian Cancer Society (SCS), the Montenegrin Cancer Society (MCS), the Macedonian Cancer Society (MCS), the Bulgarian Cancer Society (BCS), the Romanian Cancer Society (RCS), the Ukrainian Cancer Society (UCS), the Belarusian Cancer Society (BCS), the Moldovan Cancer Society (MCS), the Latvian Cancer Society (LCS), the Lithuanian Cancer Society (LCS), the Estonian Cancer Society (ECS).



### Variability

Inter-laboratory variability for ER, PR and HER2 has been reported and has initiated standardization protocols, indicating the need for a stable and reliable result for these prognostic parameters. To be considered acceptable, the results of the assay must be initially 90% concordant with those of the clinically validated assay for the ER- and PR-positive category and 95% concordant for the ER- or PR-negative category. For HER2, concordance in the positive category is important.

### Conclusion

Locally and centrally assessed ER, PR and HER2 status in the first 800 (626 centrally assessed) MINDACT patient samples indicate a high quality level of pathology in the local participating hospitals. These results exclude any bias induced by a lower quality of traditional pathology results as compared to the centrally assessed MammaPrint, both used for risk assessment and adjuvant chemotherapy decision in the MINDACT trial. The microarray-based assessment of ER, PR and HER2 gives results comparable to IHC & FISH and provides an objective and quantitative assessment of tumor receptor status. These results indicate that TargetPrint can serve as a second pathology assessment for locally assessed parameters, especially since TargetPrint is part of a multi-profile platform for breast cancer treatment management.

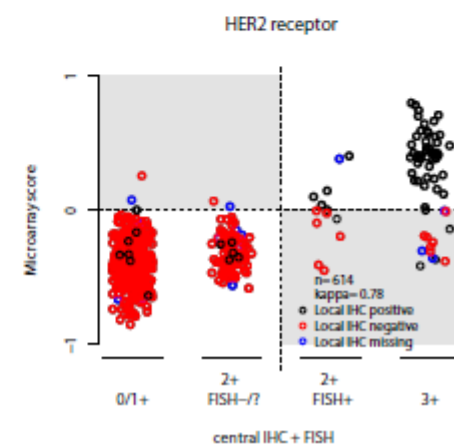
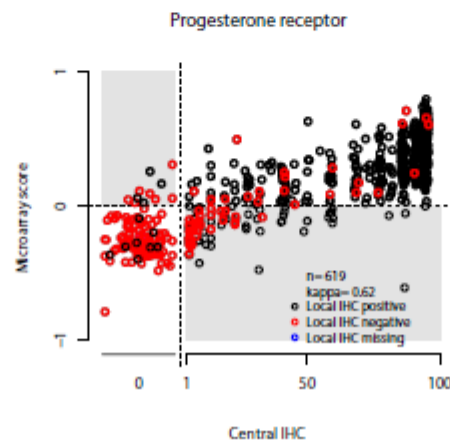
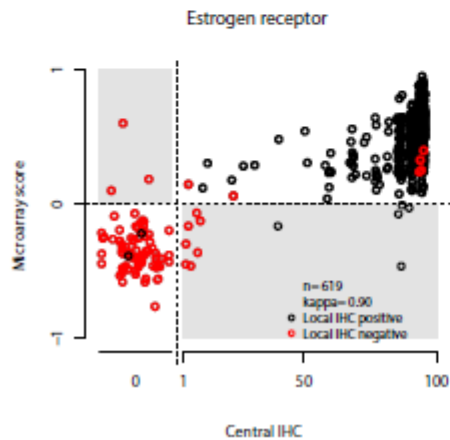


# Results

## Central review with microarray readout by TargetPrint

Comparison of central review (n=626) with microarray readout by TargetPrint indicated highly similar results for receptor readout with a concordance of 98% (k=0.90) for ER; and 96% for HER2 (k=0.78) and lower for PR (85% (k=0.62)).

		central ER		central PR		central HER2							
TargetPrint ER	ER n= 619*	pos ≥ 1%	neg < 1%	TargetPrint PR	PR n= 619*	pos ≥ 1%	neg < 1%	TargetPrint HER2	HER2 n= 614*	pos (3+)	pos (2+/FISH+)	neg (2+/FISH-)	neg (0 or 1+)
	pos		525		3	pos			408	11	pos		47
neg		12	79	neg		82	118	neg		14	7	110	425



# High concordance for microarray based determination of ER, PR and HER2 receptor status and local IHC/FISH assessment worldwide in 827 patients

J. Wesseling<sup>1</sup>, G. Cusumano<sup>2</sup>, C. Tinterri<sup>3</sup>, A. Sapino<sup>4</sup>, F. Zanconati<sup>5</sup>, M. Lutke-Holzikh<sup>6</sup>, B. Nguyen<sup>7</sup>, K. Deck<sup>8</sup>, P. Querzoli<sup>9</sup>, T Perin<sup>10</sup>, C. Giardina<sup>11</sup>, G. Seitz<sup>12</sup>, J. Guinebreiere<sup>13</sup>, J. Barone<sup>14</sup>, T. Watanabe<sup>15</sup>

1. Netherlands Cancer Institute, Amsterdam, Netherlands; 2. CHC, Liege, Belgium; 3. Istituto Clinico Humanitas, IRCCS, Rozzano, Italy; 4. Università di Torino, Italy; 5. Università di Trieste, Trieste, Italy; 6. Medisch Spectrum Twente, Enschede, Netherlands; 7. Long Beach Memorial Health Care, Long Beach, California, United States; 8. Saddleback Memorial Medical Center, Laguna Hills, California; 9. Istituto di Patologia, Università di Ferrara, Italy; 10. Centro di Riferimento Oncologico, Aviano, Italy; 11. Istituto di Anatomia Patologica, Università degli Studi di Bari, Italy; 12. Klinikum Bamberg, Germany; 13. Centre Rene Huguenin, Saint-Cloud, France; 14. Comprehensive Breast Care and Sharp Memorial Hospital, San Diego, California and 15. Hamamatsu Oncology Center, Japan

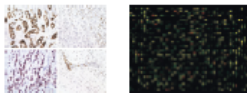
## Background

- The level of estrogen receptor (ER), progesterone receptor (PR) and HER2 expression is predictive for prognosis and/or treatment response in breast cancer patients.
- Differences in fixation and subjective interpretation can substantially affect the accuracy and reproducibility of the results in IHC.
- The commercially available TargetPrint test measures the mRNA expression level of ER, PR and HER2 and provides an objective and standardized alternative to IHC.

## Methods

- Tumor samples (n=831) from breast cancer patients (stage I to IV) were collected prospectively worldwide between 2008 and 2011 by core needle biopsy or from a surgical specimen
- The mRNA level of ER, PR and HER2 was assessed with TargetPrint
- IHC/FISH assessments were performed according to local standards at the participating hospitals
- HER2 IHC scores of 0 or 1+ were considered negative. An IHC score of 3+ was considered positive. IHC 2+ cases with an amplified FISH result were considered positive and none amplified FISH results negative
- HER2 IHC/FISH was unknown for 12 samples; ER/PR IHC unknown for 4
- IHC staining results were compared to the quantitative gene expression readouts (TargetPrint)
- Discordant cases were centrally reviewed for IHC/FISH assessment

## IHC versus TargetPrint (microarray)



## 2x2 tables TargetPrint versus IHC/FISH

ER by TargetPrint	ER by IHC		PR by TargetPrint	PR by IHC		HER2 by TargetPrint	HER2 by IHC/FISH			
	pos n=827 5.7%	neg n=76 1.7%		pos n=827 5.7%	neg n=76 1.7%		HER2 n=819	pos (3+)	pos (2+)	neg (2+)
pos	681	15	pos	493	51	pos	65	18	6	9
neg	25	106	neg	93	190	neg	16	15	35	655

Overall comparison of IHC and gene expression (mRNA level) read out by TargetPrint shows a concordance of:

**95% for ER; 83% for PR and 94% for HER2**

Inter-institutional data: the concordance between centers ranged from: 88-100% for ER, 77-95% for PR, and 91-100% for HER2\*

\* ranges were calculated from institutes with more than 20 cases

## Results central review of discordant cases

Central re-assessment (blinded for original results) for IHC ER-/TargetPrint ER+ cases indicates TargetPrint to be useful as second opinion in such cases. For HER2, microarray read-out shows true discordance for a number of discordant cases. Further research is indicated.

	ER	PR	HER2
TargetPrint + / IHC =	TargetPrint + / IHC =	TargetPrint + / IHC 0/1+	TargetPrint + / IHC 0/1+
ER	PR	HER2	HER2
TargetPrint - / IHC +	TargetPrint - / IHC +	TargetPrint - / IHC 2+ / FISH -	TargetPrint - / IHC 2+ / FISH -
TargetPrint - / IHC +	TargetPrint - / IHC +	TargetPrint - / IHC 2+ / FISH +	TargetPrint - / IHC 2+ / FISH +
TargetPrint - / IHC -	TargetPrint - / IHC -	TargetPrint - / IHC 0/1+	TargetPrint - / IHC 0/1+
TargetPrint - / IHC -	TargetPrint - / IHC -	TargetPrint - / IHC 2+ / FISH -	TargetPrint - / IHC 2+ / FISH -
TargetPrint - / IHC -	TargetPrint - / IHC -	TargetPrint - / IHC 2+ / FISH +	TargetPrint - / IHC 2+ / FISH +

## Concordance & kappa statistics for ER, PR, and HER2

	Concordance		Kappa	
	Statistic	95% CI	Statistic	95% CI
ER	0.95	(0.93-0.96)	0.81	(0.75-0.87)
PR	0.83	(0.80-0.85)	0.60	(0.54-0.66)
HER2	0.94	(0.93-0.96)	0.75	(0.68-0.82)

## Percent agreement for ER, PR, and HER2

	ER	PR	HER2
% Positive Agreement	681 / (681+25) = 97%	493 / (493+93) = 84%	83 / (83+31) = 73%
% Negative Agreement	106 / (106+15) = 88%	190 / (190+51) = 79%	690 / (690+15) = 98%
NPV/PPV	NPV = 81%	NPV = 67%	PPV = 82%

## Conclusion

Microarray based readout of ER, PR and HER2 status using TargetPrint is highly comparable to local IHC and FISH analysis in 827 analyzed samples worldwide.

The results indicate mRNA expression read out for ER, PR and HER2 by TargetPrint provides high quality second opinion for local IHC/FISH assessment.

First central re-assessment of 103 discordant assessments are shown and discussed.

For further information on the comparison of local IHC read out and TargetPrint please view: poster P3-04-06 and P1-07-06

# Reliable 'second opinion'

	Concordance (95% CI)	Kappa (95% CI)	n
ER	98% (96-99%)	90% (85-95%)	619
PR	85% (82-88%)	62% (55-69%)	619
HER2	96% (94-97%)	78% (70-86%)	614

	ER	PR	HER2
positive agreement	525/537 = 98%	408/490 = 83%	53/74 = 72%
negative agreement	79/82 = 96%	118/129 = 91%	535/540 = 99%
NPV/PPV	NPV = 87%	NPV = 59%	PPV = 91%



# The clinical issue. Think step by step

Step 2:

Is ER +ve really ER +ve?

My conclusion (for debate):

- Have your ER testing done by standard operational procedures, including quality control programs in sufficient case load labs.
- IHC is good
- Threshold: like ASCO-CAP guidelines: >1% consider anti ER therapy

# The clinical issue.

Think step by step (the most easy one)

## Step 3:

If ER +ve is reliably proven, and there is some risk of relapse

- Adjuvant anti-estrogen treatments: effect is proven
  - At least 5 years
  - Premenopausal: tamoxifen +/- ovarion ablation
  - Postmenopausal at least 2-3 years AI (+ tamoxifen) or AI only
  - In higher risk: extended to 7 (10?) years

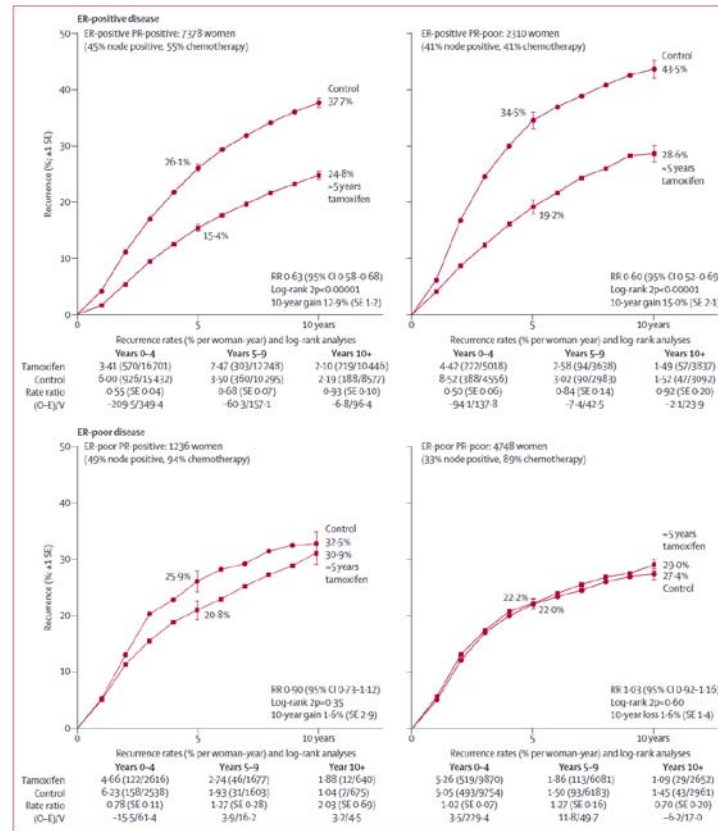
# Relevance of breast cancer hormone receptors and other factors to the efficacy of adjuvant tamoxifen: patient-level meta-analysis of randomised trials



Early Breast Cancer Trialists' Collaborative Group (EBCTCG)\*

## Summary

**Background** As trials of 5 years of tamoxifen in early breast cancer mature, the relevance of hormone receptor *Lancet* 2011;378:771-84



**Figure 1:** Relevance of measured ER and PR status to the effects of about 5 years of tamoxifen on the 10-year probability of recurrence. Outcome by allocated treatment in trials of about 5 years of adjuvant tamoxifen. Event rate ratio (RR) is from summed log-rank statistics for all time periods. Gain (and its SE) is absolute difference between ends of graphs. ER=estrogen receptor, PR=progesterone receptor, O-E=observed minus expected, with variance V.

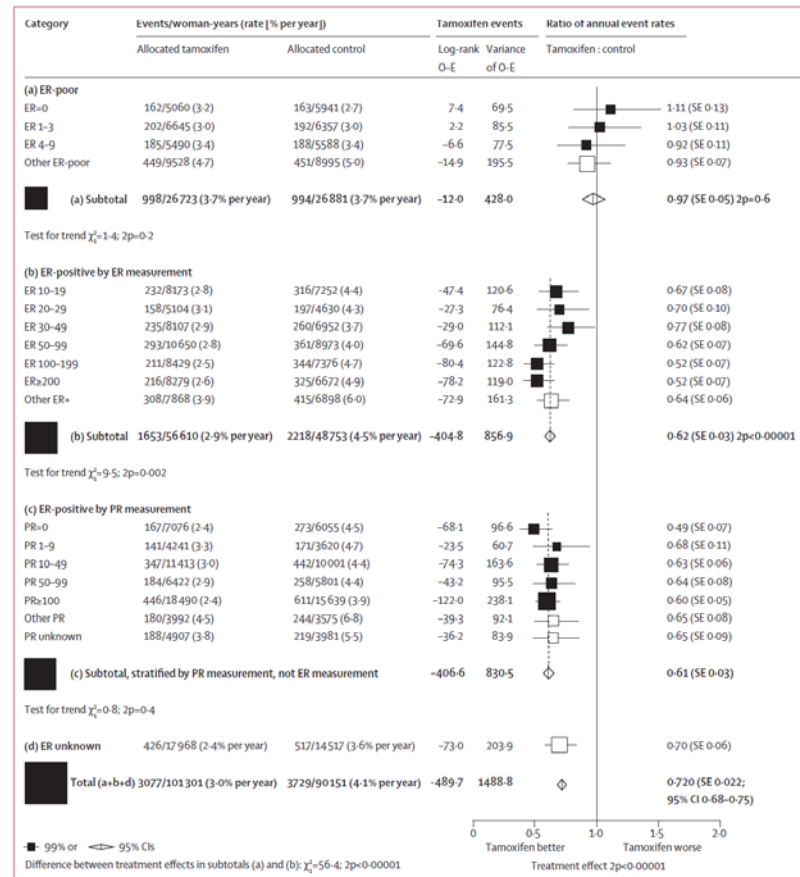
# Relevance of breast cancer hormone receptors and other factors to the efficacy of adjuvant tamoxifen: patient-level meta-analysis of randomised trials



Early Breast Cancer Trialists' Collaborative Group (EBCTCG)\*

## Summary

**Background** As trials of 5 years of tamoxifen in early breast cancer mature, the relevance of hormone receptor *Lancet* 2011;378:771-84



**Figure 2:** Relevance of quantitative ER and PR measurement (fmol/mg cytosol protein) to the tamoxifen versus control recurrence rate ratio. Outcome by allocated treatment in trials of about 5 years of adjuvant tamoxifen. Other ER-poor includes ER-negative by immunohistochemistry and ER unspecified, but less than 10 fmol/mg. ER=oestrogen receptor. PR=progesterone receptor. O-E=observed minus expected.

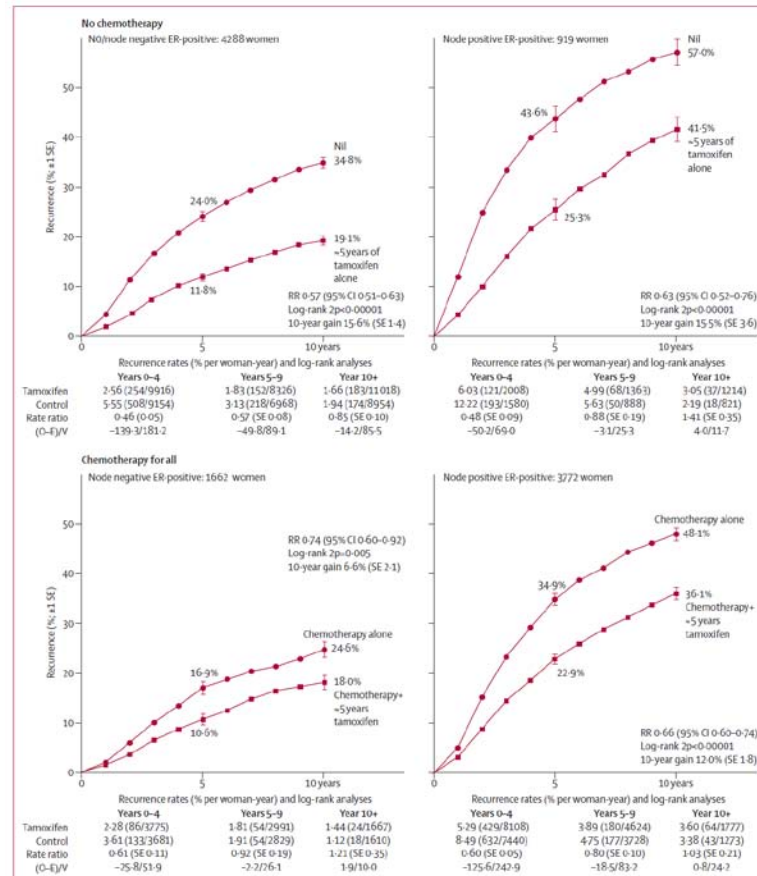
# Relevance of breast cancer hormone receptors and other factors to the efficacy of adjuvant tamoxifen: patient-level meta-analysis of randomised trials



Early Breast Cancer Trialists' Collaborative Group (EBCTCG)\*

## Summary

**Background** As trials of 5 years of tamoxifen in early breast cancer mature, the relevance of hormone receptor *Lancet* 2011; 378: 771-84



**Figure 3:** Relevance of nodal status and of background chemotherapy to the effects of tamoxifen on the 10-year probability of recurrence, for ER-positive disease. Outcome by allocated treatment in trials of about 5 years of adjuvant tamoxifen. Event rate ratio (RR) is from summed log-rank statistics for all time periods. Gain (and its SE) is absolute difference between ends of graphs. ER=estrogen receptor. PR=progesterone receptor. O-E=observed minus expected, with variance V.



## Step 3:

If ER +ve is reliably proven, and there is some risk of relapse

## My conclusions:

- Adjuvant anti-estrogen treatments: effect is proven
- At least 5 years
- Premenopausal: tamoxifen +/- ovarion ablation(role await SOFT trial results)
- Postmenopausal at least 2-3 years AI (+ tamoxifen) or AI only
- In higher risk: extended to 7 (10?) years: see upcoming ATLAS trial results!



# The clinical issue.

## Think step by step

(the most difficult one)

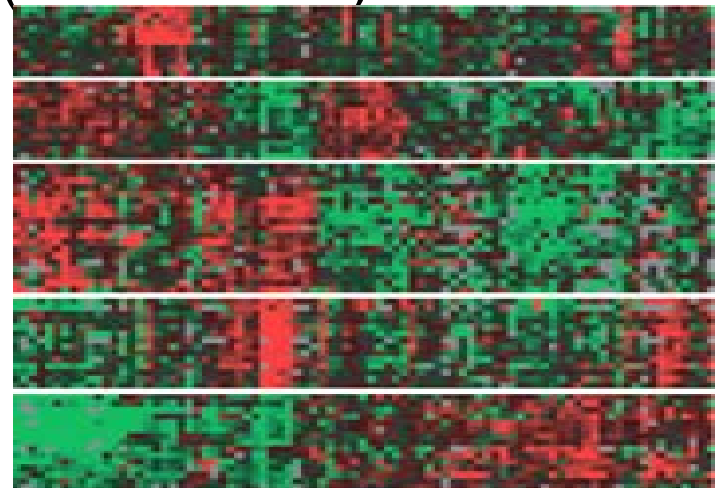
Step 4: What are the factors that justify adjuvant chemotherapy (luminal A > B)

- Is every luminal A a luminal A?
- What makes luminal B a luminal B?
- What is the effect of chemotherapy: different for luminal A or B?

# What are Intrinsic Molecular Subtypes?

- Molecular subtypes show which pathway drives cancer growth.
  - Luminal it is the estrogen pathway
  - ERB2 it is the HER2 pathway
  - Basal it is neither one of them
- There is approx 20% discordance between molecular subtypes and subtyping with IHC (Perou 2011)

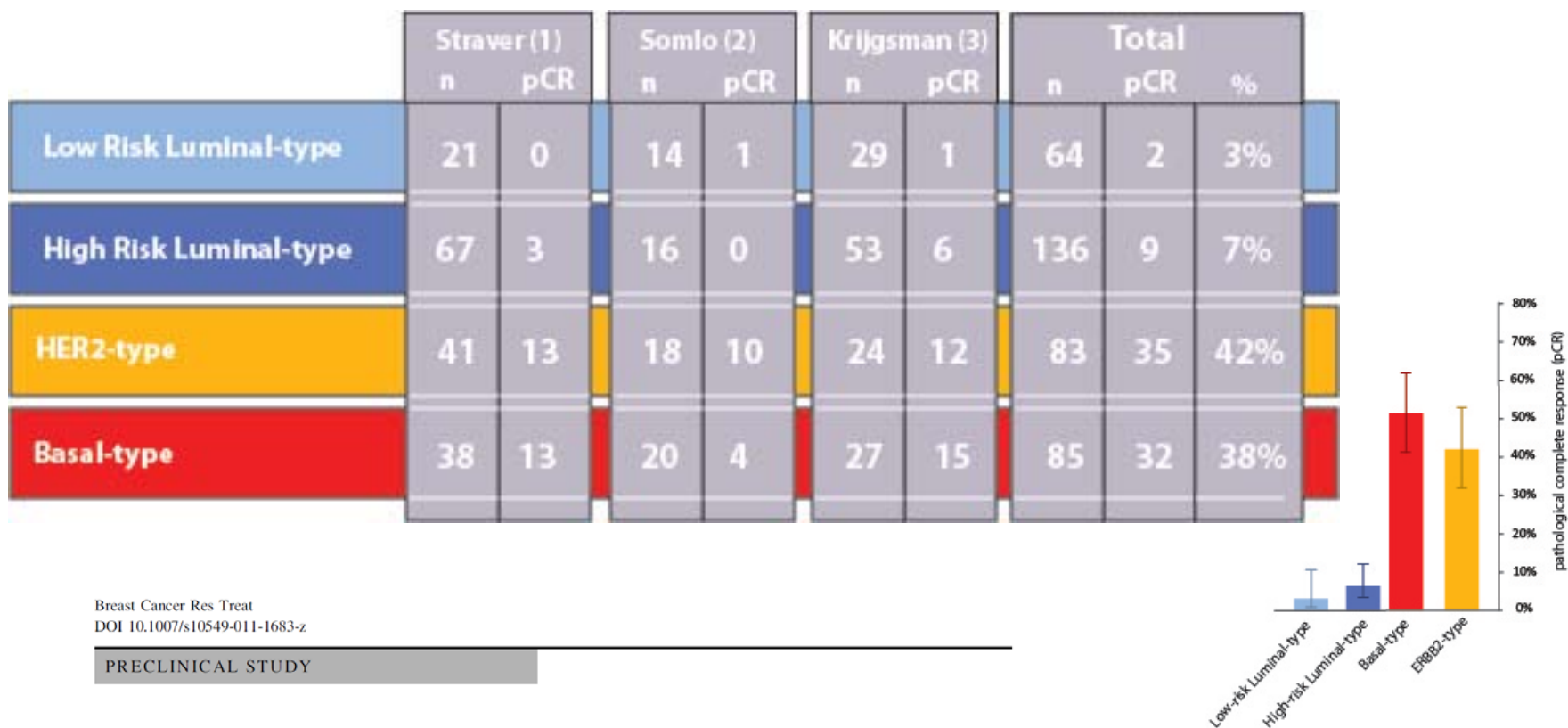
**Red = Up-regulation**  
**Green = Down-regulation**



Is molecular subtyping useful in “fine tuning” your treatment decisions?

First some supportive data...

# Response to neo-adjuvant chemotherapy in molecular subgroups



Breast Cancer Res Treat  
DOI 10.1007/s10549-011-1683-z

PRECLINICAL STUDY

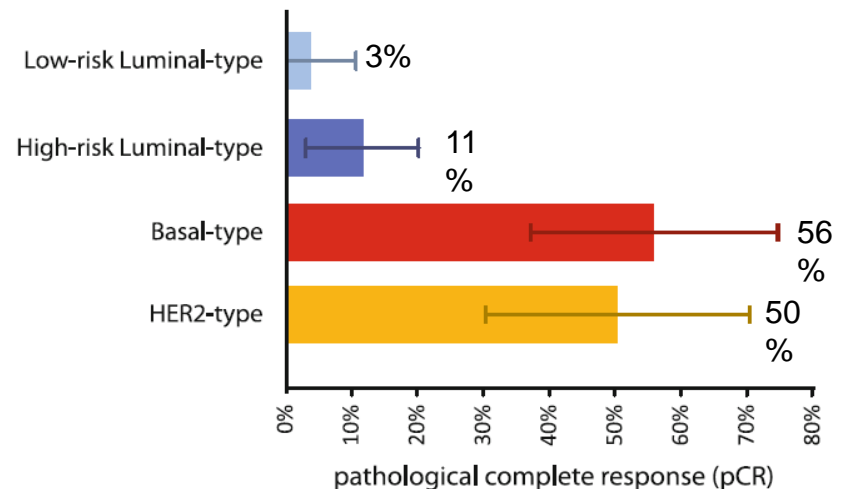
## A diagnostic gene profile for molecular subtyping of breast cancer associated with treatment response

Oscar Krijgsman · Paul Roepman · Wilbert Zwart ·  
Jason S. Carroll · Sun Tian · Femke A. de Snoo ·  
Richard A. Bender · Rene Bernards · Annuska M. Glas

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Richard A. Bender · Rene Bernards · Annuska M. Glas

- PcR and 5yr follow-up of neoadjuvant patients confirms the very response to chemotherapy of Luminal Low Risk patients.
- PcR rates confirm that there is a benefit of chemotherapy in Luminal High Risk patients .
- PcR rates in Basal & HER2 are high stressing the importance of identifying the subtype in these two groups.





blueprint™

*Molecular Subtyping Signature*

80-gene signature

Profiles Basal, Luminal and HER2 subtypes

# ASCO - 2012

## Response and long term outcomes after neo-adjuvant chemotherapy: Pooled dataset of patients stratified by molecular subtyping by MammaPrint and Blueprint

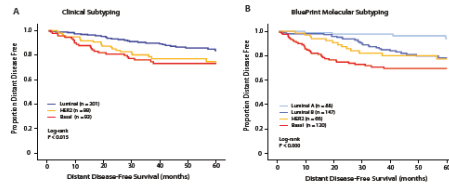
Stefan Glück<sup>1</sup>, Femke de Snoo<sup>2</sup>, Justine Peeters<sup>2</sup>, George Somlo<sup>3</sup>, Laura van 't Veer<sup>4</sup>

1. University of Miami/Sylvester Comprehensive Cancer Center, Miami, FL; 2. Agendia, Amsterdam, Netherlands; 3. City of Hope, Duarte, CA; 4. UCSF, San Francisco, CA

### Background

Classification of breast cancers into molecular subtypes may be important for the appropriate selection of therapy for patients with early breast cancer. Previous analyses had shown that distinct cancer subtypes have distinct clinical outcome (Sorlie, PNAS, 2001; Esserman, BCRT, 2011). In our study, we analyze using MammaPrint together with an 80-gene molecular subtyping profile (Blueprint) the response to neo-adjuvant chemotherapy and long term outcomes.

### Survival rates according to Clinical and Molecular Subtyping



### Added value of Molecular Subtyping

Luminal A patients (Blueprint Luminal/ MammaPrint Low Risk) have a good baseline prognosis with excellent survival and may have no benefit from chemotherapy.

A subset of clinical HER2+ patients are classified as Luminal-type by Blueprint. The Blueprint HER2-type pCR patients have a 5 yr DMFS of 87%, compared to clinical HER2+ pCR patients who have 78% 5 yr DMFS. A recent pooled analysis showed that pCR rate is low in clinical HER2+/Luminal patients and is not associated with outcome (von Minckwitz et al., 2012, JCO).

Blueprint classifies more patients as Basal-type (n=120) with higher pCR rate (42%), compared to clinical subtyping (n=93) with a pCR rate of 31%.

### Summarizing tables

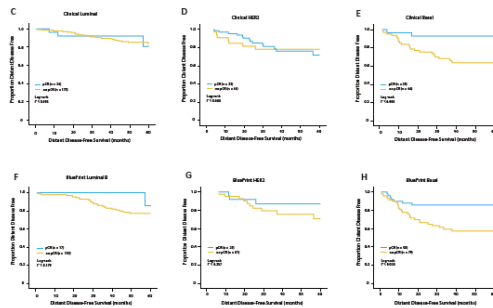
Clinical Subtyping	Chemotherapeutic pCR rate (%)	Prognosis 5 yr DMFS	Benefit from CT 5 yr DMFS pCR CT responsive	Benefit from CT 5 yr DMFS no pCR CT non-responsive
Luminal (B/Luminal/HER2)	26/28 (11%)	81% (pCR A)	pCR 86% (pCR C)	84% (pCR C)
HER2+	13/95 (13%)	74% (pCR A)	pCR 79% (pCR C)	71% (pCR C)
HER2 type (non-chemotherapeutic)	23/76 (30%)	78% (data not shown)	pCR 87% (pCR C)	87% (data not shown)
Basal type (pCR negative)	20/93 (21%)	71% (pCR A)	pCR 68% (pCR C)	53% (pCR C)

Blueprint Subtyping	Chemotherapeutic pCR rate (%)	Prognosis 5 yr DMFS	Benefit from CT 5 yr DMFS pCR CT responsive	Benefit from CT 5 yr DMFS no pCR CT non-responsive
Luminal A (MammaPrint Low Risk)	3/88 (3%)	94% (pCR B)	good baseline prognosis (pCR B)	93% (pCR B)
Luminal B (MammaPrint High Risk)	12/147 (12%)	79% (pCR B)	pCR 85% (pCR B)	71% (pCR B)
HER2 type	25/66 (38%)	77% (pCR B)	pCR 87% (pCR B)	79% (pCR B)
HER2 type (non-chemotherapeutic)	20/51 (39%)	77% (data not shown)	pCR 86% (pCR B)	87% (data not shown)
Basal type	50/120 (42%)	69% (pCR B)	pCR 50% (pCR B)	37% (pCR B)

### Methods

This study was carried out on data from 421 patients: 141 patients from the I-SPY 1 trial; 230 patients from biomarker discovery program at MD Anderson (131 and 99 respectively; Hess et al., 2006, JCO; Iwamoto et al., 2011, BCRT); and 50 patients from City of Hope (Somlo et al., ASCO, 2010). All patients were treated in the neo-adjuvant setting with chemotherapy. MammaPrint and Blueprint outcomes were determined from either 44K Agilent arrays run at Agendia or available through the I-SPY 1 data portal, or from Affymetrix U133A arrays. The combination of MammaPrint and Blueprint resulted in 4 distinct molecular groups: Luminal A (MammaPrint Low Risk/Luminal-type), Luminal B (MammaPrint High Risk/Luminal-type), Basal-type and HER2-type.

### Prognosis after pCR by Clinical and Molecular Subtyping



### Clinical characteristics

Age (median)	Molecular Subtyping: Blueprint and MammaPrint				Total (n=421)
	Luminal A (pCR+)	Luminal B (pCR+)	HER2 type (pCR+)	Basal type (pCR+)	
Median	52	52	52	52	52
Range	21	21	21	21	21
Median tumor size	2.3	2.3	2.3	2.3	2.3
ER (+)	72	208	19	11	210
ER (-)	18	35	17	24	94
ER unknown	0	0	0	1	1
PR (+)	58	24	11	2	95
PR (-)	19	19	11	11	59
PR unknown	0	0	0	0	0
HER2+ (IHC2+)	0	14	17	20	51
HER2+ (IHC3+)	0	0	0	0	0
HER2- (IHC0)	0	0	1	25	26
HER2- (IHC1)	11	11	0	20	42
HER2 unknown	0	0	0	0	0
Receptor/HER2 ratio	0	107	13	11	131
Receptor/HER2 ratio	0	0	0	0	0
Receptor/HER2 ratio	0	0	2	0	2
Median tumor size	2.3	2.3	2.3	2.3	2.3
ER (+)	0	0	13	13	26
ER (-)	0	0	0	0	0

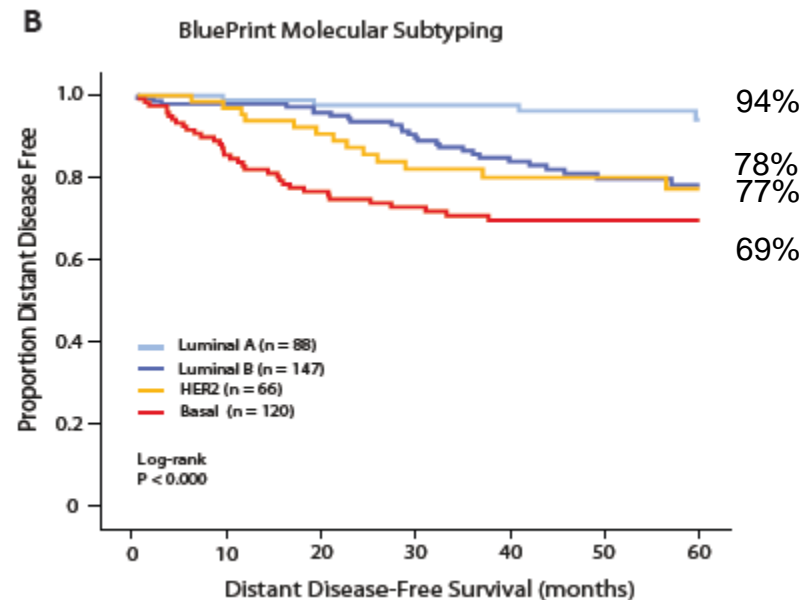
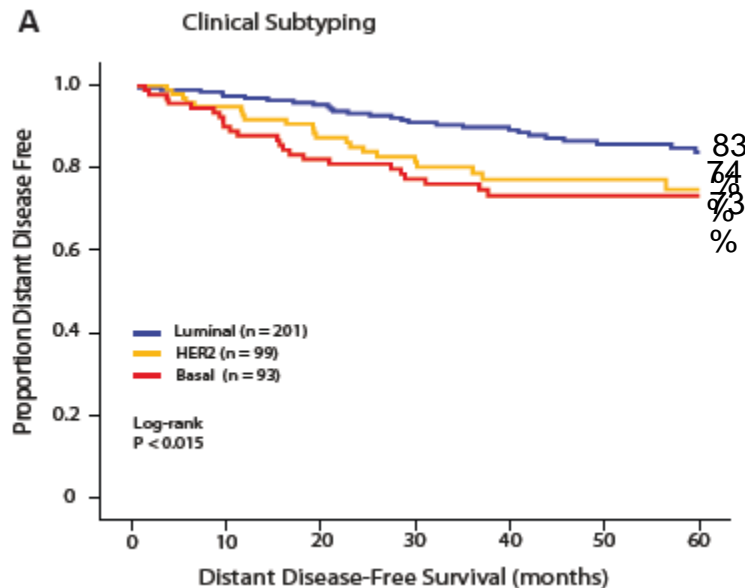
### Summary

Molecular Subtyping can improve stratification of patients in the neo-adjuvant setting; MammaPrint Low Risk patients have a good baseline prognosis with excellent survival and may not benefit from chemotherapy. We observed marked differences in response and DMFS to neo-adjuvant treatment in groups stratified by MammaPrint and Blueprint. These findings confirm differences in chemotherapy response among molecular subgroups, and indicate that Blueprint and MammaPrint help to further establish a clinical correlation between molecular subtyping and treatment outcomes.



# Key Findings:

- 5 year survival data suggests that a combination of MammaPrint and BluePrint more accurately identifies Luminal, Basal and ERB2 subtypes compared to IHC



# Key Findings:

- 42% of patients that were classified as HER 2+ by IHC/ Fish were reclassified as Luminal's with Blue Print
- Luminal A's have a 5yr DMFS of 94%.
- If significant co-morbidites exist is it worth considering withholding Herceptin from Luminal A patients?

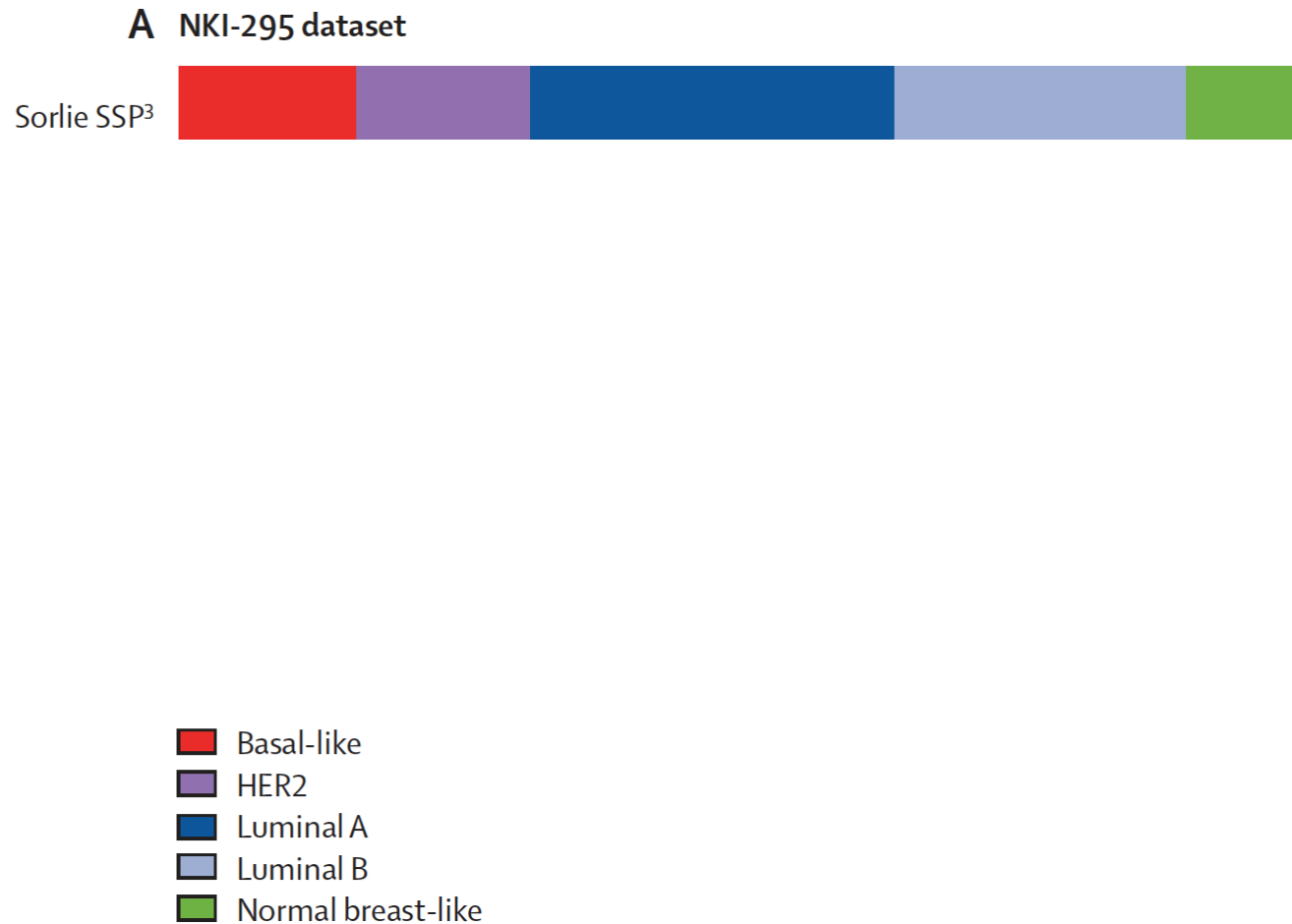
## Clinical characteristics

	Molecular Subtyping: Blueprint and MammaPrint				Total (n=421)
	Luminal A-type (n=88)	Luminal B-type (n=147)	HER2-type (n=66)	Basal-type (n=120)	
Age (median)	50 (26-75)	51 (30-79)	53 (32-73)	51 (29-72)	
Grade 1	12	76	2	0	20
Grade 2	53	70	19	23	165
Grade 3	21	58	44	78	201
Grade unknown	2	13	1	19	35
ER+ (IHC)	72	108	29	31	240
ER- (IHC)	16	34	37	84	171
ER unknown	0	5	0	5	10
PR+ (IHC)	58	76	22	30	186
PR- (IHC)	30	63	44	85	222
PR unknown	0	8	0	5	13
HER2 + (IHC/FISH)	8	34	37	20	99
HER2- (IHC/FISH)	80	104	28	87	299
HER2 unknown	0	9	1	13	23
triple negative (IHC/FISH)	11	14	9	59	93
MammaPrint Low Risk	88	0	8	0	96
MammaPrint High Risk	0	147	58	120	325
Anthracycline/non taxane	5	4	2	4	15
Taxane	73	106	41	78	298
HER2 targeted	2	6	15	12	35
treatment unknown	9	33	10	29	81

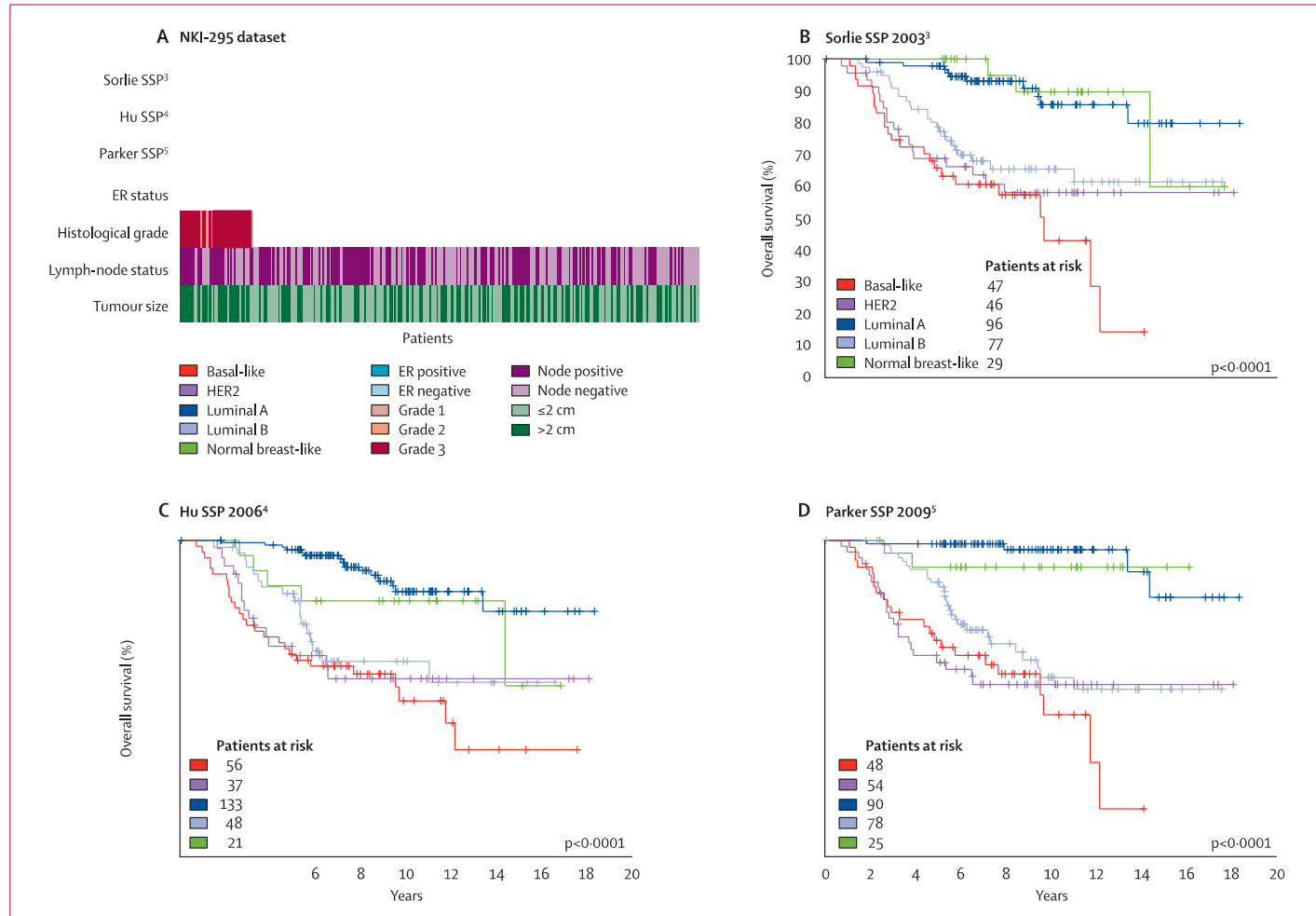
Is molecular subtyping useful in “fine tuning” your treatment decisions?

Than some sobering data....

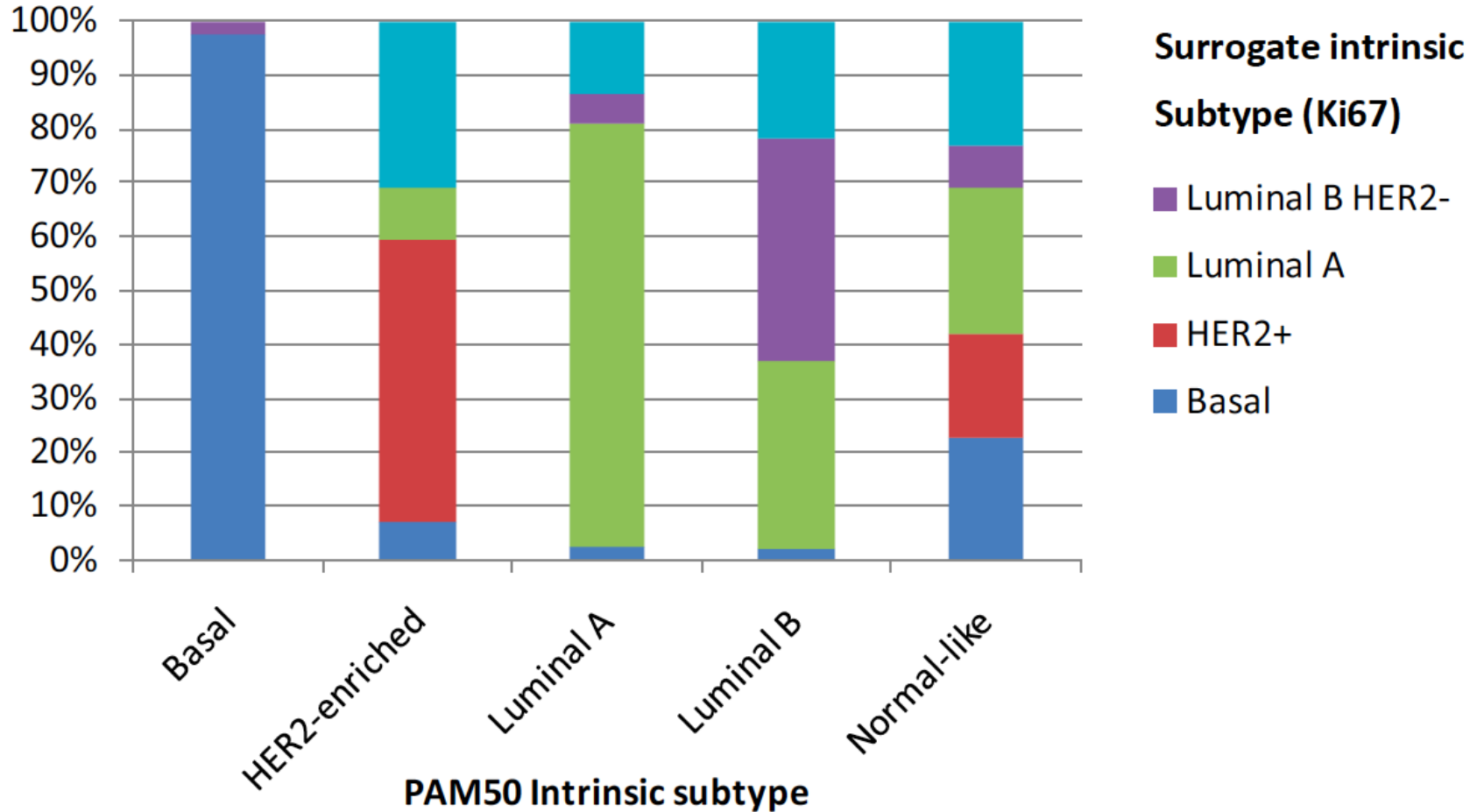
# Concordance single sample predictors (SSP)



# Concordance SSP algorithms



# Concordance molecular vs. IHC subtyping (n=560)



# ASCO - 2012

## Comparison of molecular (Blueprint+MammaPrint) and pathological subtypes for breast cancer among the first 800 patients from the EORTC 10041/BIG 3-04 (MINDACT) trial

Giuseppe Viale<sup>1</sup>, Leen Slaets<sup>2</sup>, Femke de Snoo<sup>3</sup>, Laura J. van 't Veer<sup>4</sup>, Emiel J. Rutgers<sup>5</sup>, Martine Piccart<sup>6</sup>, Jan Bogaerts<sup>2</sup>, Jeroen van den Akker<sup>3</sup>, Kristel Engelen<sup>2</sup>, Leila Russo<sup>1</sup>, Patrizia Dell'Orto<sup>1</sup>, Fatima Cardoso<sup>7</sup>

1. European Institute of Oncology and University of Milan, Milan, Italy; 2. European Organisation for Research and Treatment of Cancer, Brussels, Belgium; 3. Agendia, Amsterdam, Netherlands; 4. UCSF, San Francisco, US; 5. Netherlands Cancer Institute, Amsterdam, Netherlands; 6. Jules Bordet Institute, Brussels, Belgium; 7. Breast Unit, Champalimaud Cancer Center, Lisbon, Portugal

### Background

Biology has become the main driver of breast cancer therapy. Intrinsic biological subtypes by gene expression profiling have been identified. Pathology can be used to define surrogates of these subtypes but these are not always concordant, which may lead to different treatment plans. We investigated the concordance between Blueprint + MammaPrint (micro array based) breast cancer subtypes and pathological surrogates (based on ER, PR, HER2 & Ki67). Contrary to the Perou gene set (evolved into PAM50), Blueprint was trained using pathological data.

### Three ways to measure ER activity



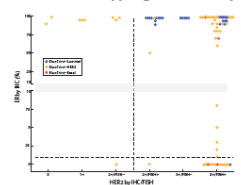
### Methods

Using available data (centrally assessed pathology & genomic) from the MINDACT pilot phase (Rutgers et al, 2011) 621 tumors were analyzed. Patients were classified according to 4-category based pathology (ER, PR, HER2 & Ki67); additionally, classification was done adhering to the recent St. Gallen recommendations (Goldhirsch et al 2011) which recognizes an additional category (Luminal B HER2+). Based on Blueprint 3 subtypes are formed: Luminal, HER2 and Basal. The Luminal subtype is further split into Luminal A (MammaPrint Low Risk) and Luminal B (MammaPrint High Risk).

### Substratification of the Luminal subgroup: Concordance MammaPrint versus Ki67

Ki67 is assumed to be a fairly reliable measure of proliferation. Generally, when multi-gene assay results are not available, Ki67 is often used as biomarker to distinguish Luminal A from Luminal B subgroups. The concordance between MammaPrint and centrally assessed Ki67 in Luminal-type patients is 71%, with a  $\kappa$  score of 0.35 (95% CI 0.26–0.45). The relatively high discordance with MammaPrint indicates that Ki67 and MammaPrint cannot reliably substitute for each other.

### Molecular subtyping of HER2+ patients



This figure depicts ER and HER2 clinical assessments for clinical HER2+ and/or Blueprint HER2 cases. For visualization purposes, random trimmed noise is added to the HER2 assessments and ER scaling is adjusted.

There is a relatively large group of clinical HER2+ cases that are Blueprint Luminal-type. Blueprint classifies these patients as Luminal-type despite being clinical HER2+, indicating the tumor's expression of the Luminal profile to be dominant over the expression of the HER2 profile. These patients have high IHC ER results and fall into the group that St Gallen separately defines as Luminal B HER2-type. These patients may have lower response to trastuzumab (von Minckwitz et al, 2012).

### Subtyping with Blueprint/MammaPrint and IHC/FISH

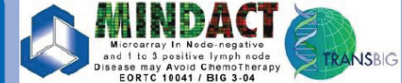
4 category	St Gallen (5 category)	Luminal A Blueprint Luminal MammaPrint Low Risk	Luminal B Blueprint Luminal MammaPrint High Risk	HER2 Blueprint HER2	Basal Blueprint Basal	Total
Luminal A ER+, PR+, HER2, Ki67 low	Luminal A ER+, PR+, HER2	263	19	4	1	287
Luminal B ER+, PR+, HER2, Ki67 high	Luminal B HER2- ER+, PR+, HER2	111	70	4	11	196
HER2 HER2+	Luminal B HER2+ ER+, PR+, HER2+	25	3	31	1	60
Basal ER-, PR-, HER2	Erb-B2 ER-, PR-, HER2+	1	0	13	2	16
	Basal ER-, PR-, HER2	0	0	1	61	62
<b>Total</b>		<b>400</b>	<b>92</b>	<b>53</b>	<b>76</b>	<b>621</b>

ER = Hormone Receptor (ER and/or PR) Gene (ER/PR) Threshold at ER positive staining Threshold for HER2 is low IHC or none pathologic staining HER2 is case FISH for ER/HER2 status Ki67 low < 14%

### 12 Clinical Luminal patients with Blueprint Basal-type

This figure depicts ER and PR IHC expression for clinical Luminal-type cases. For visualization purposes, random trimmed noise is added to a range of assessments and ER and PR scaling is adjusted.

The majority of the cases classified as Basal-type by Blueprint have low ER and PR expression (lower than 10%); indicating this to be a critical group in need of further research.



### Conclusions

- All pathological Basal cases are Blueprint Basal, apart from 1 BP HER2 case
- Of the Blueprint Basal cases, 20% are not pathological Basal (16% Luminal, 4% HER2). Of these 16% Luminal cases, the majority are IHC ER/PR borderline ( $\geq 1\%$  and  $< 10\%$ )
- 97% of the pathological HER2+ cases that are Blueprint Luminal are ER+
- Most discordant cases are seen within the Luminal subtype, indicating that Ki67 distinguishes Luminal A from B differently than MammaPrint does
- The observed subtype discrepancies reveal potential important impact for treatment-decision making. MINDACT will provide such important information

### References

Rutgers et al, 2011, European Journal of Cancer  
Goldhirsch et al, 2011, Annals of Oncology  
van Minckwitz et al, 2012, Journal of Clinical Oncology

### Acknowledgements

This trial has funding grants from the European Commission Framework Programme VI (FP6-LHC-CT-2004-004242), the Breast Cancer Research Foundation, Novartis, F. Hoffmann-La Roche, Sanofi-Santaris, the National Cancer Institute (NCI), the EBCC-Breast Cancer Working Group, the Jacqueline Sessouf Memorial Foundation, Prs Média du Cancer de Sein, Susan G. Komen for the Cure, Association Serge Gontin H. Cancer, Dutch Cancer Society (KWF), Association Le Cancer du Sein, Pariso-est, Deutsche Krebshilfe and the Grant Simpson Trust and Cancer Research UK. Whole genome analysis is provided in kind by Agendia.

# HER2+ and ER+ are often BP Luminal

- If you have patients with co-morbidities that you are concerned about treating with Herceptin, is there a subset of patients that you can withhold this drug?
- Large group of clinical HER2+ cases that are BluePrint Luminal type (46%).
- Indicating the tumor's expression of the Luminal profile to be dominate over the expression of the HER2+ profile.
- These patients may have a lower response to trastuzumab (von Minckwitz et al, 2012)

4 category	St Gallen (5 category)	Luminal A BluePrint Luminal MammaPrint Low Risk	Luminal B BluePrint Luminal MammaPrint High Risk	HER2 BluePrint HER2	Basal BluePrint Basal	Total
<b>Luminal A</b> ER+ and/or PR+ HER2-, Ki67 low	<b>Luminal A</b> idem	263	19	4	1	287
<b>Luminal B</b> ER+ and/or PR+ HER2-, Ki67 high	<b>Luminal B HER2-</b> idem	111	70	4	11	196
<b>HER2</b> HER2+	<b>Luminal B HER2+</b> ER+ and/or PR+ HER2+	25	3	31	1	60
	<b>Erb-B2</b> ER-/PR-/HER2+	1	0	13	2	16
<b>Basal</b> ER-/PR-/HER2-	<b>Basal</b> idem	0	0	1	61	62
<b>Total</b>		400	92	53	76	621



# Even the best KI67 assessment shows 30% discordance with MammaPrint

- Ki67 is assumed to be a fairly reliable measure of proliferation. Ki67 is utilized as a biomarker for chemotherapy
- The concordance between MammaPrint and centrally assessed Ki67 in Luminal-type patients is 71%, with a k score of 0.35 (95% CI 0.26-0.45).
- The relatively high discordance with MammaPrint indicates that Ki67 and MammaPrint cannot reliably substitute for each other.
- MammaPrint has a higher hazard ratio than KI67 and is a better indicator for prognosis

4 category	St Gallen (5 category)	Luminal A BluePrint Luminal MammaPrint Low Risk	Luminal B BluePrint Luminal MammaPrint High Risk	HER2 BluePrint HER2	Basal BluePrint Basal	Total
Luminal A ER+ and/or PR+ HER2-, Ki67 low	Luminal A idem	263	19	4	1	287
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HER2 HER2+	Luminal B HER2+ ER+ and/or PR+ HER2+	25	3	31	1	60
	Erb-B2 ER-/PR-/HER2+	1	0	13	2	16
Basal ER-/PR-/HER2-	Basal idem	0	0	1	61	62
<b>Total</b>		400	92	53	76	621

# Key Findings:

## 20% of the Basal is IHC ER+

- These patients might take Endocrine therapy without effect
- Of the BluePrint Basal cases, 20% are not pathological Basal (16% Luminal, 4% HER2+)
- Of the 16% Luminal cases, the majority (80% are IHC ER/PR borderline ( $\geq 1\%$  and  $< 10\%$ ))

4 category	St Gallen (5 category)	Luminal A BluePrint Luminal MammaPrint Low Risk	Luminal B BluePrint Luminal MammaPrint High Risk	HER2 BluePrint HER2	Basal BluePrint Basal	Total
Luminal A ER+ and/or PR+ HER2-, Ki67 low	Luminal A idem	263	19	4	1	287
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Basal ER-/PR-/HER2-	Basal idem	0	0	1	61	62
<b>Total</b>		400	92	53	76	621

Are clinico-pathological data useful in “fine tuning” your treatment decisions towards adjuvant chemotherapy?

Then the basic & confusing data....



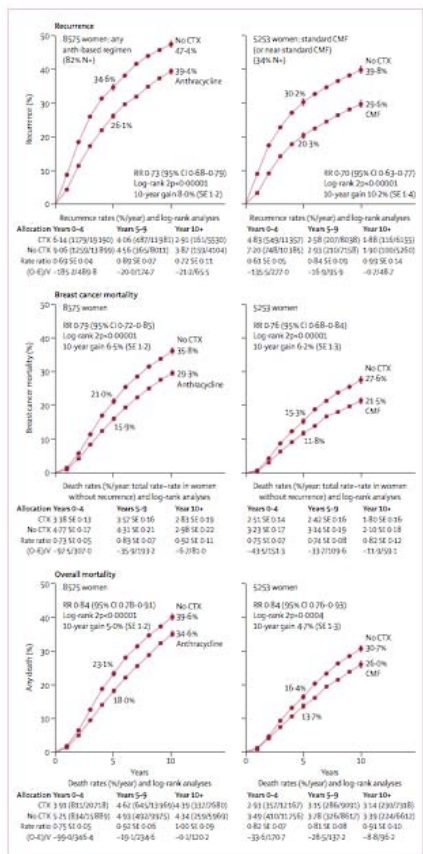
# Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100 000 women in 123 randomised trials

Early Breast Cancer Trialists' Collaborative Group (EBCTCG)

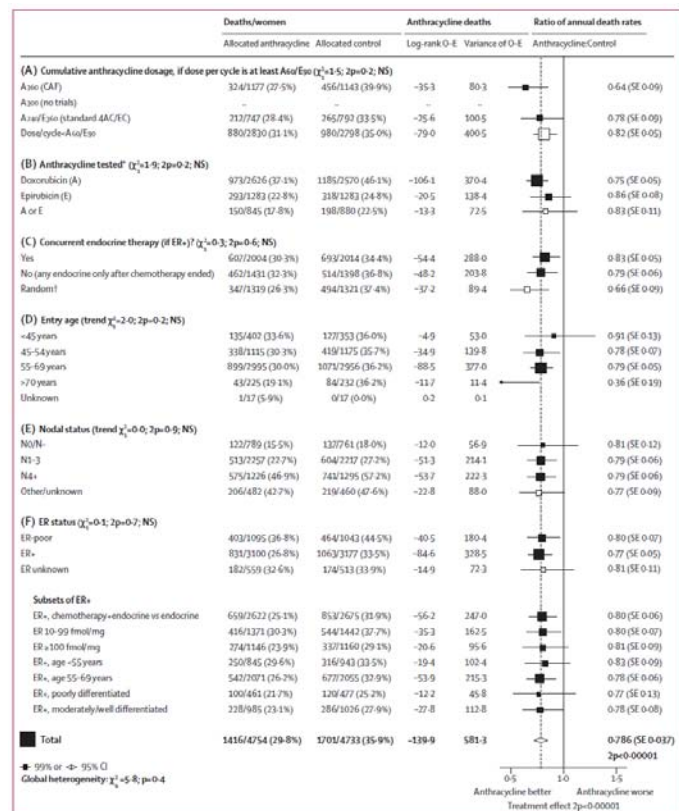
## Summary

**Background** Moderate differences in efficacy between adjuvant chemotherapy regimens for breast cancer are plausible.


Lenet 2012, 379: 432-44



**Figure 5:** Time to recurrence, breast cancer mortality, and overall mortality for chemotherapy versus no adjuvant chemotherapy. Left: four or more cycles of any anthracycline (Anth)-based regimen—eg, standard 4AC. Right: standard or near-standard CMF. RR (and its 95% CI)—event rate ratio, from summed log-rank statistics for all time periods combined. Gain (and its SE)—absolute difference between ends of graphs. CTX=chemotherapy. Event rates, %/year, are followed by (first events/woman-years). Error bars show  $\pm 1$  SE.



**Figure 6:** Subgroup analyses of breast cancer mortality (mortality with recurrence, by log-rank subtraction) for any anthracycline-based regimen versus no chemotherapy. A=doxorubicin (Adriamycin); E=epirubicin. Dose/cycle (and cumulative dosage) is given after the drug name in mg/m<sup>2</sup>.  $A_{50}/Eso$  means 60 mg/m<sup>2</sup> of doxorubicin or 90 mg/m<sup>2</sup> of epirubicin. NS—not significant. ER=estrogen receptor. \*First four subgroups are as in the forest plots (webappendix pp 33–38) that give details of each trial's cytotoxic regimens. <sup>†</sup>In the SWOG 8834 trial of CAF in postmenopausal ER+ disease, tamoxifen started randomly with or after the chemotherapy.











 Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100 000 women in 123 randomised trials

Early Breast Cancer Trialists' Collaborative Group (EBCTCG)

**Summary**

*Lancet* 2012, 379: 432-44 **Background** Moderate differences in efficacy between adjuvant chemotherapy regimens for breast cancer are plausible.

**(F) ER status ( $\chi^2=0.1$ ;  $2p=0.7$ ; NS)**

ER-poor	403/1095 (36.8%)	464/1043 (44.5%)	-40.5	180.4		0.80 (SE 0.07)
ER+	831/3100 (26.8%)	1063/3177 (33.5%)	-84.6	328.5		0.77 (SE 0.05)
ER unknown	182/559 (32.6%)	174/513 (33.9%)	-14.9	72.3		0.81 (SE 0.11)
<b>Subsets of ER+</b>						
ER+, chemotherapy+endocrine vs endocrine	659/2622 (25.1%)	853/2675 (31.9%)	-56.2	247.0		0.80 (SE 0.06)
ER 10-99 fmol/mg	416/1371 (30.3%)	544/1442 (37.7%)	-35.3	162.5		0.80 (SE 0.07)
ER ≥100 fmol/mg	274/1146 (23.9%)	337/1160 (29.1%)	-20.6	95.6		0.81 (SE 0.09)
ER+, age <55 years	250/845 (29.6%)	316/943 (33.5%)	-19.4	102.4		0.83 (SE 0.09)
ER+, age 55-69 years	542/2071 (26.2%)	677/2055 (32.9%)	-53.9	215.3		0.78 (SE 0.06)
ER+, poorly differentiated	100/461 (21.7%)	120/477 (25.2%)	-12.2	45.8		0.77 (SE 0.13)
ER+, moderately/well differentiated	228/985 (23.1%)	286/1026 (27.9%)	-27.8	112.8		0.78 (SE 0.08)



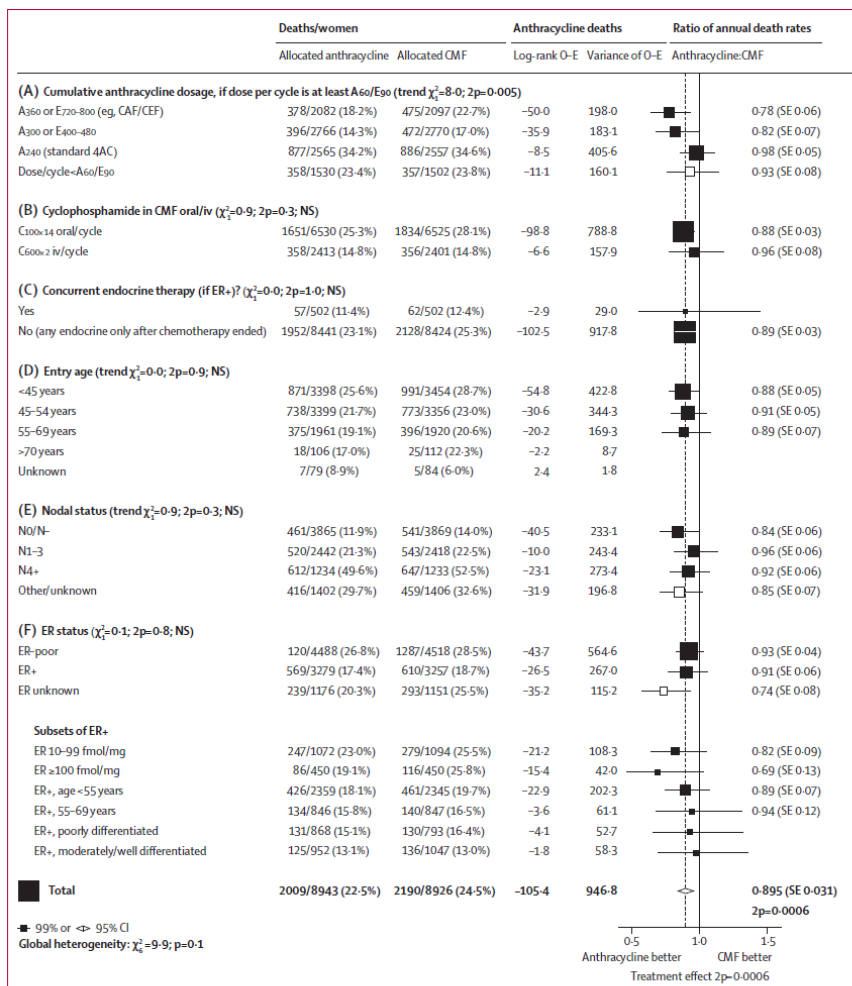
# Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100 000 women in 123 randomised trials

Early Breast Cancer Trialists' Collaborative Group (EBCTCG)


## Summary

**Background** Moderate differences in efficacy between adjuvant chemotherapy regimens for breast cancer are plausible.

Lancet 2012, 379: 432-44



**Figure 4:** Subgroup analyses of breast cancer mortality (mortality with recurrence, by log-rank subtraction) for any anthracycline-based regimen versus standard CMF (or near-standard CMF)  
A=doxorubicin (Adriamycin), E=epirubicin. Dose/cycle (and cumulative dosage) is given after the drug name in mg/m<sup>2</sup>; A60/E90 means 60 mg/m<sup>2</sup> of doxorubicin or 90 mg/m<sup>2</sup> of epirubicin. iv=intravenous. NS=not significant. ER=oestrogen receptor. IHC=immunohistochemistry. \*First four subgroups are as in the forest plots (webappendix pp 27-32) that give details of each trial's cytotoxic regimens.


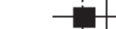



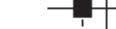



 Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100 000 women in 123 randomised trials

Early Breast Cancer Trialists' Collaborative Group (EBCTCG)

**Summary**

**Background** Moderate differences in efficacy between adjuvant chemotherapy regimens for breast cancer are plausible.

**(F) ER status ( $\chi^2=0.1$ ;  $2p=0.8$ ; NS)**

ER-poor	120/4488 (26.8%)	1287/4518 (28.5%)	-43.7	564.6		0.93 (SE 0.04)
ER+	569/3279 (17.4%)	610/3257 (18.7%)	-26.5	267.0		0.91 (SE 0.06)
ER unknown	239/1176 (20.3%)	293/1151 (25.5%)	-35.2	115.2		0.74 (SE 0.08)
<b>Subsets of ER+</b>						
ER 10-99 fmol/mg	247/1072 (23.0%)	279/1094 (25.5%)	-21.2	108.3		0.82 (SE 0.09)
ER ≥100 fmol/mg	86/450 (19.1%)	116/450 (25.8%)	-15.4	42.0		0.69 (SE 0.13)
ER+, age <55 years	426/2359 (18.1%)	461/2345 (19.7%)	-22.9	202.3		0.89 (SE 0.07)
ER+, 55-69 years	134/846 (15.8%)	140/847 (16.5%)	-3.6	61.1		0.94 (SE 0.12)
ER+, poorly differentiated	131/868 (15.1%)	130/793 (16.4%)	-4.1	52.7		0.89 (SE 0.07)
ER+, moderately/well differentiated	125/952 (13.1%)	136/1047 (13.0%)	-1.8	58.3		0.89 (SE 0.07)

# Value of molecular subtyping and prediction to effect of chemotherapy

- Classification often dependent of method used
- Despite differences in gene lists, outcome similar
- Most signatures discriminate based on ER-status and proliferative activity
- Prognostic value restricted to ER-positive tumors
- Subclassification ER-positive breast cancer in luminal A and luminal B is arbitrary, based on proliferation
- Expression signatures are complementary to standard clinico-pathological parameters



# Predictive profiles fail

- Even the best arrays unable to give a sufficient signal at low expression of very relevant genes
- Subtle, non detectable changes in level of expression can make the difference
- Expression profiling unable to pick up resistance mechanisms if such a mechanism is only present in a proportion of the tumors
- Tumors are heterogeneous, RNA bulk analysis will not help

# Integration of tumor features is essential

- Adequate morphological diagnosis
- Robust and reliable IHC-panel
  - ER, PR, HER2, (Ki-67)
- Gene signature has additional value for a substantial subgroup
- Requires for all disciplines sufficient volume and expertise

# The clinical issue.

## Think step by step

(the most difficult one)

Step 4: What are the factors that justify adjuvant chemotherapy (luminal A > B)

My conclusions (for debate)

- Is every luminal A a luminal A? **No, there are some high risk cancers between them**
- What makes luminal B a luminal B? **The proliferation/propensity to disseminate: you need extra information because you do not see it sufficiently on standard pathology/IHC (Ki 67: too much differences in quality, too many 'in betweens', not proven to be chemopredictive).**

# The clinical issue.

## Think step by step

(the most difficult one)

Step 4: What are the factors that justify adjuvant chemotherapy (luminal A > B)

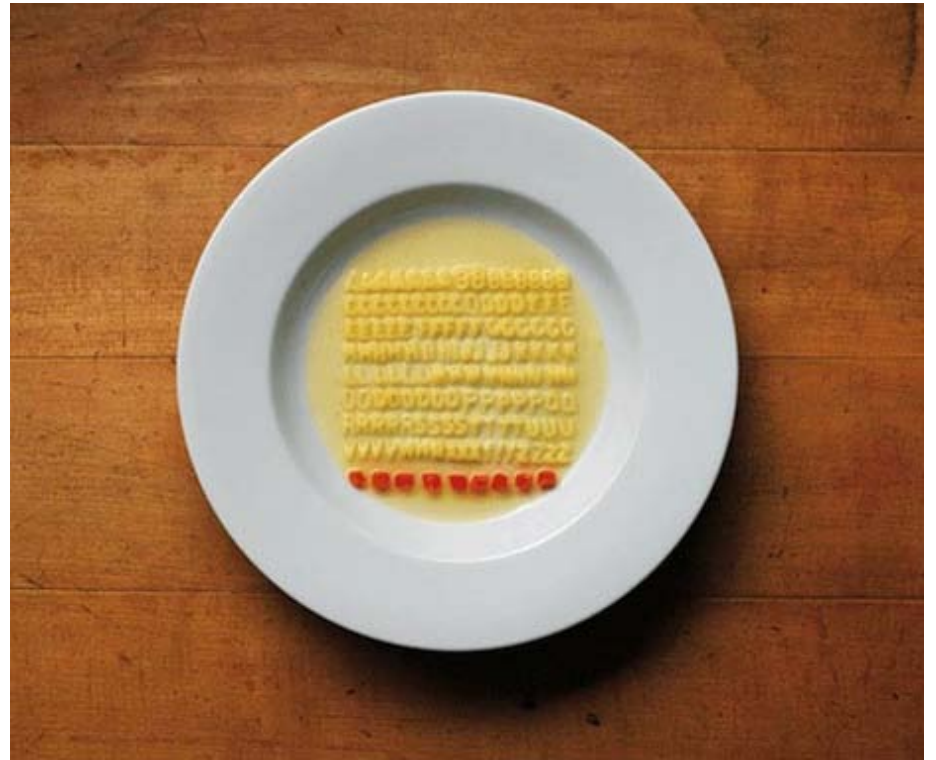
My conclusions (for debate)

- What is the effect of chemotherapy: different for luminal A or B?

Actually not proven: depends on prognosis & prediction

(and now, I'm sorry, the circle is round again)

Did you get some order out of chaos?





# 日本乳がん情報ネットワーク

Japan Comprehensive Cancer Network, Breast (JCCNB)

Thanks to the patients and all those who provided me  
with the presented information,  
and for inviting me, your attention & discussion



# Intermediate Clinico/Pathological Risk

What to do?

- Treat all patients with chemotherapy?
- Or be more selective, and treat those patients who benefit

(and thus minimizing the risk of losing lives by foregoing chemotherapy)

# 70-gene assay (MammaPrint)

- Is not just another prognostic factor
- Is designed from the beginning to tell you the metastatic potential of an individual breast cancer



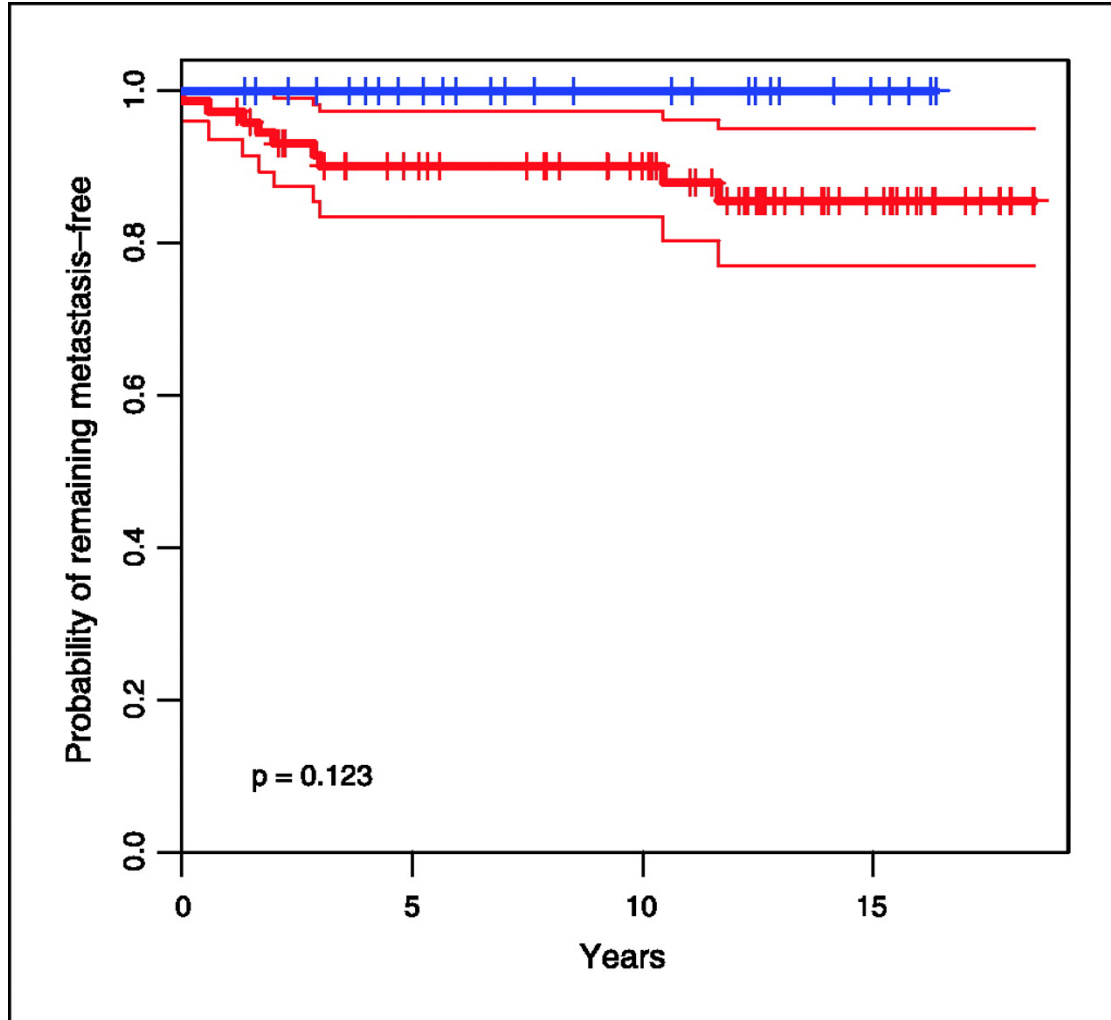


# 70-gene MammaPrint

- Functions of many genes are identified and are all related to the process of dissemination including proliferation

# Validation 4: N = 100

*Wittner et al., Clin Cancer Res 14: 2988, 2008*



**MGH series,  
Boston;**

**Time to metastasis**

# Aims RASTER study



- Feasibility of using 70-gene signature in community-based settings
- Effect of 70-gene signature on adjuvant systemic treatment (AST) decisions
  - AST decision at that time based on restrictive Dutch National Guideline 2004, 70GS result and doctors' and patients' preferences
- Outcome after 5 and 10 years of follow-up

# Current aim RASTER study



- Outcome after 5 years of follow-up
- What would the risk estimation of the RASTER cohort be with currently used risk estimation tools to guide AST decisions
  - Adjuvant!Online

# Inclusion criteria



- Female
- cT1-4N0M0 invasive breast cancer
- Age < 61 years, amended to < 55 years (after 242 patients had been enrolled)
- Operable, unilateral tumor
- No history of previous malignancy, except for basal cell carcinoma or cervical carcinoma in situ
- No neoadjuvant systemic therapy



## High risk

- N+
- N0;  $\leq 35$  years
  - except for tumor  $\leq 1$  cm grade I
- N0;  $> 35$  years:
  - Larger than 1 cm grade III
  - Larger than 2 cm grade II
  - Larger than 3 cm any grade

# Adjuvant Online version 8.0



**Patient Information**

Age:

No additional therapy:

9% 10-yrs † risk

Low risk defined as 10-year survival probability at least 90%

10 Year Risk:

Adjuvant Therapy Effectiveness

Horm:

Chemo:

With combined therapy: Benefit = 4.7 alive.

Benefit ET 3%, CT 2%

# Results

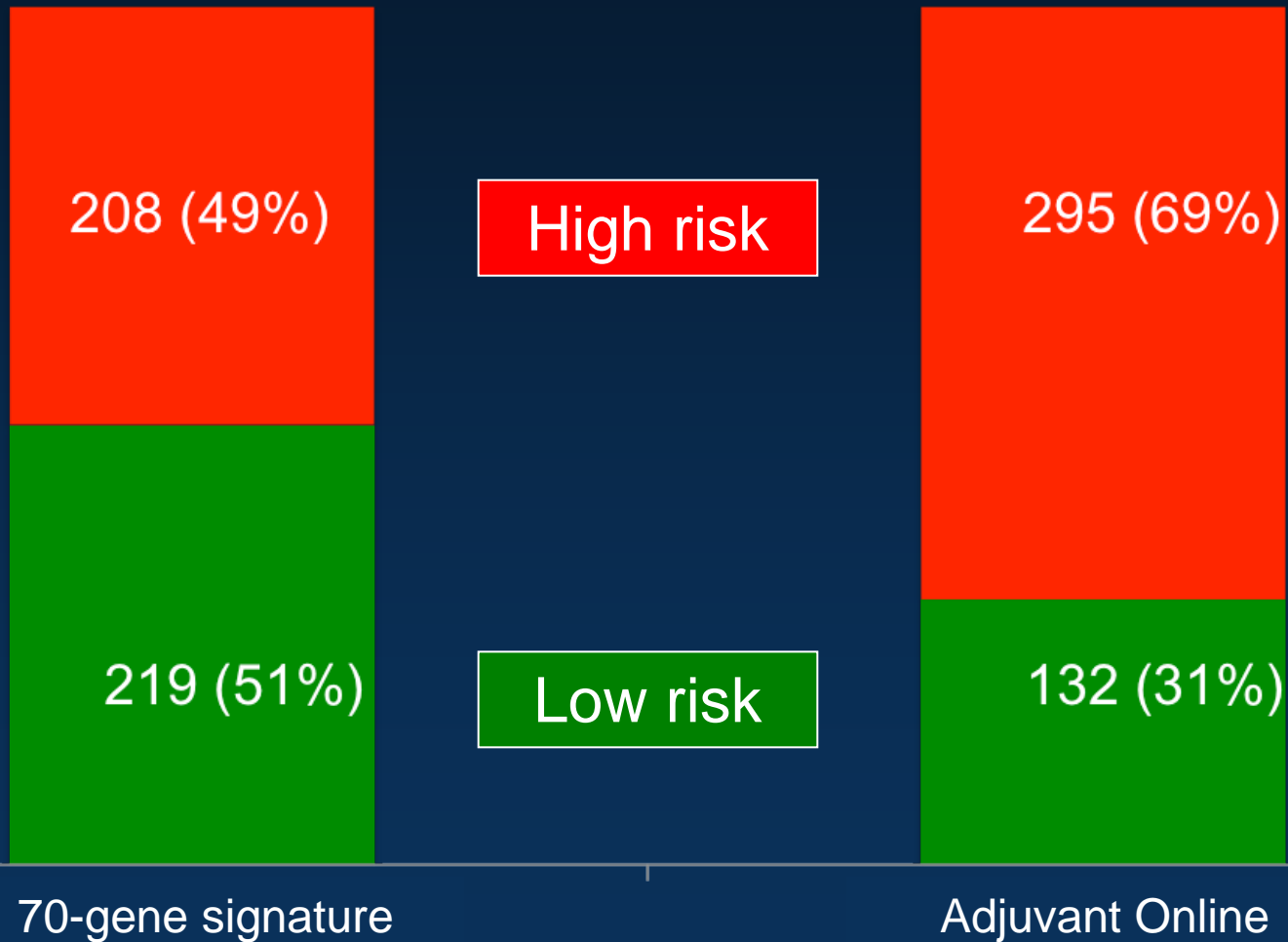


- 427 patients tested between 2004-2006
- Median FUP time 61.6 months
- 33 DDFS events
  - DDFS event = distant recurrence, death (any cause), 2nd primary other than breast
- 11 deaths
- 9 breast cancer specific deaths





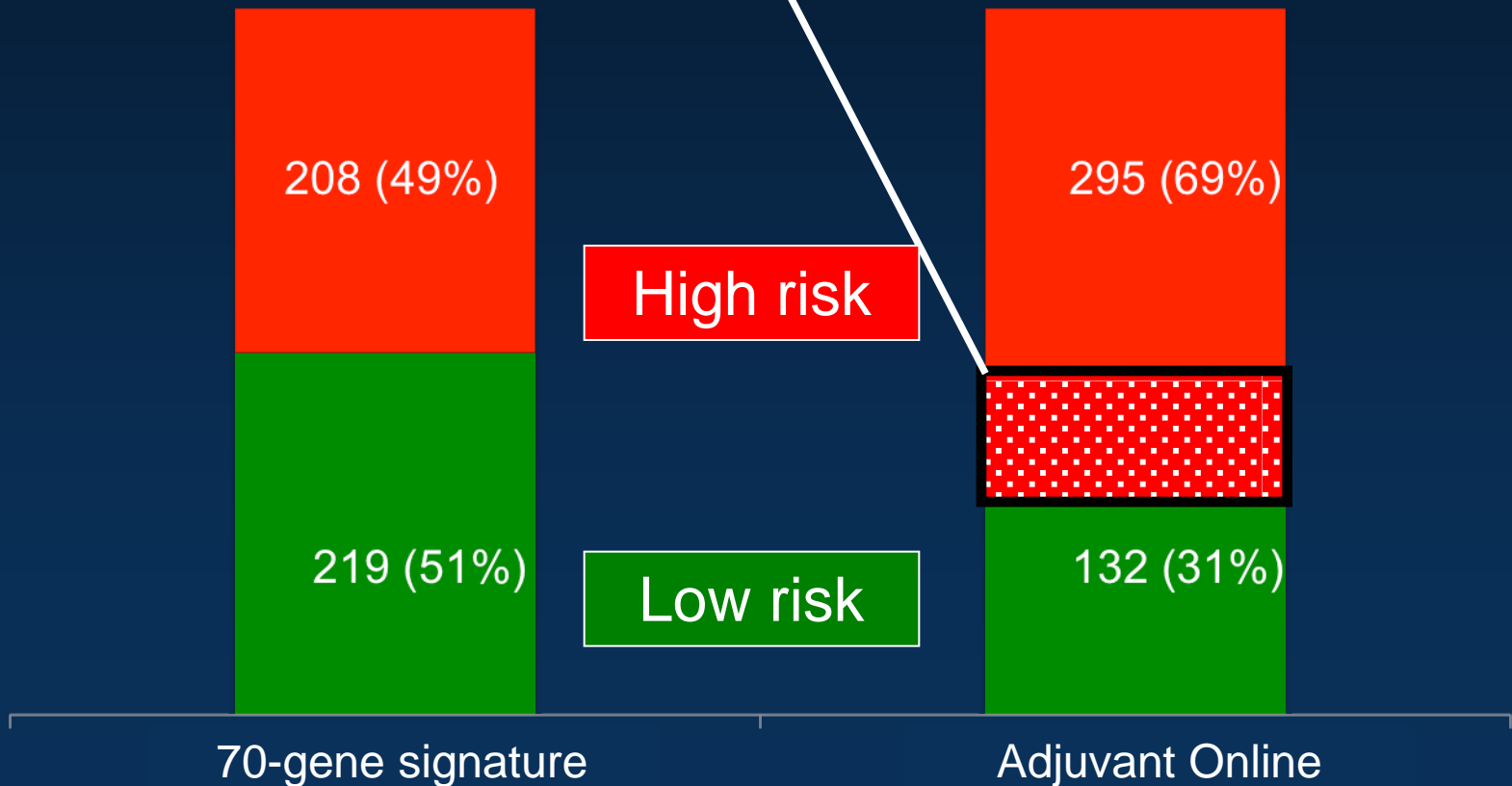
# Proportion of patients labeled as high risk



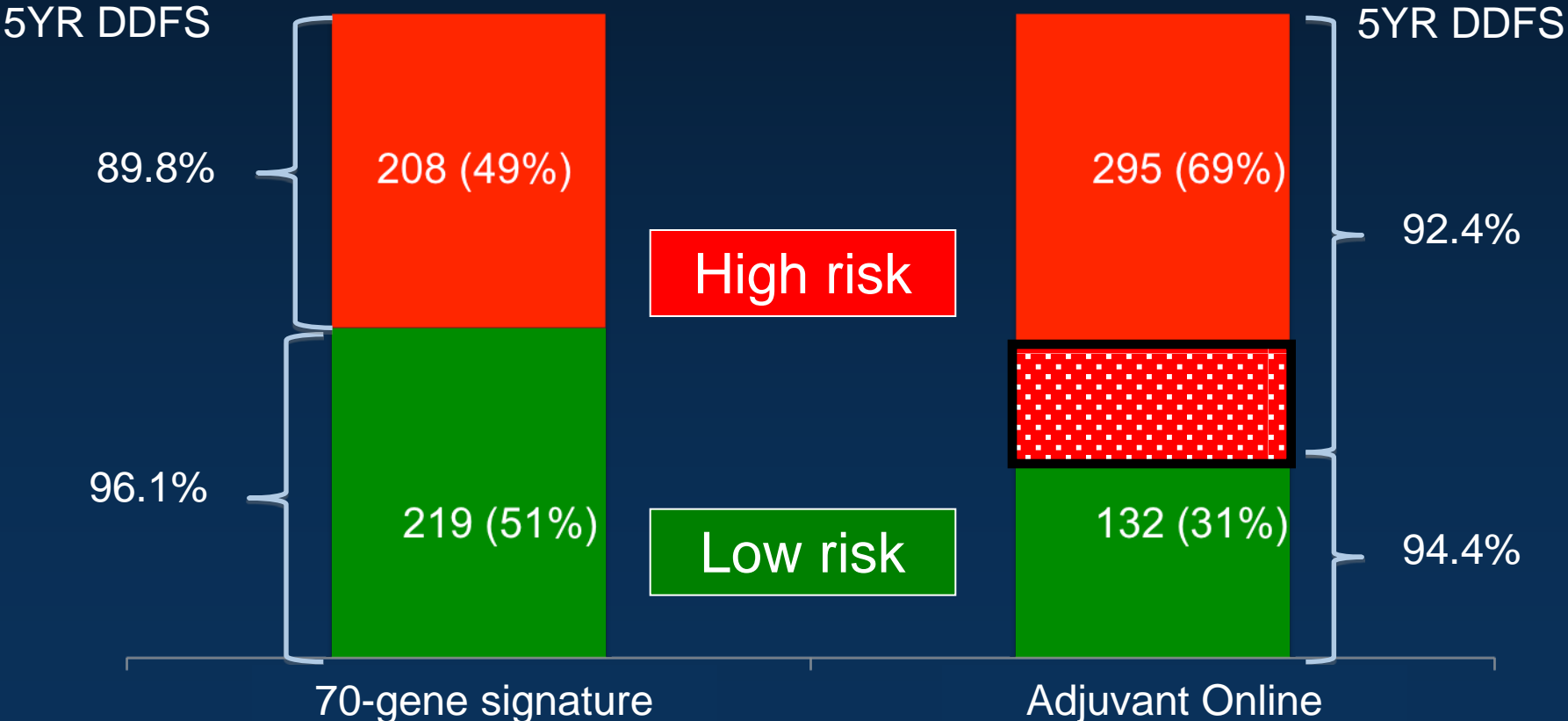


# With 70GS 29% less patients high risk

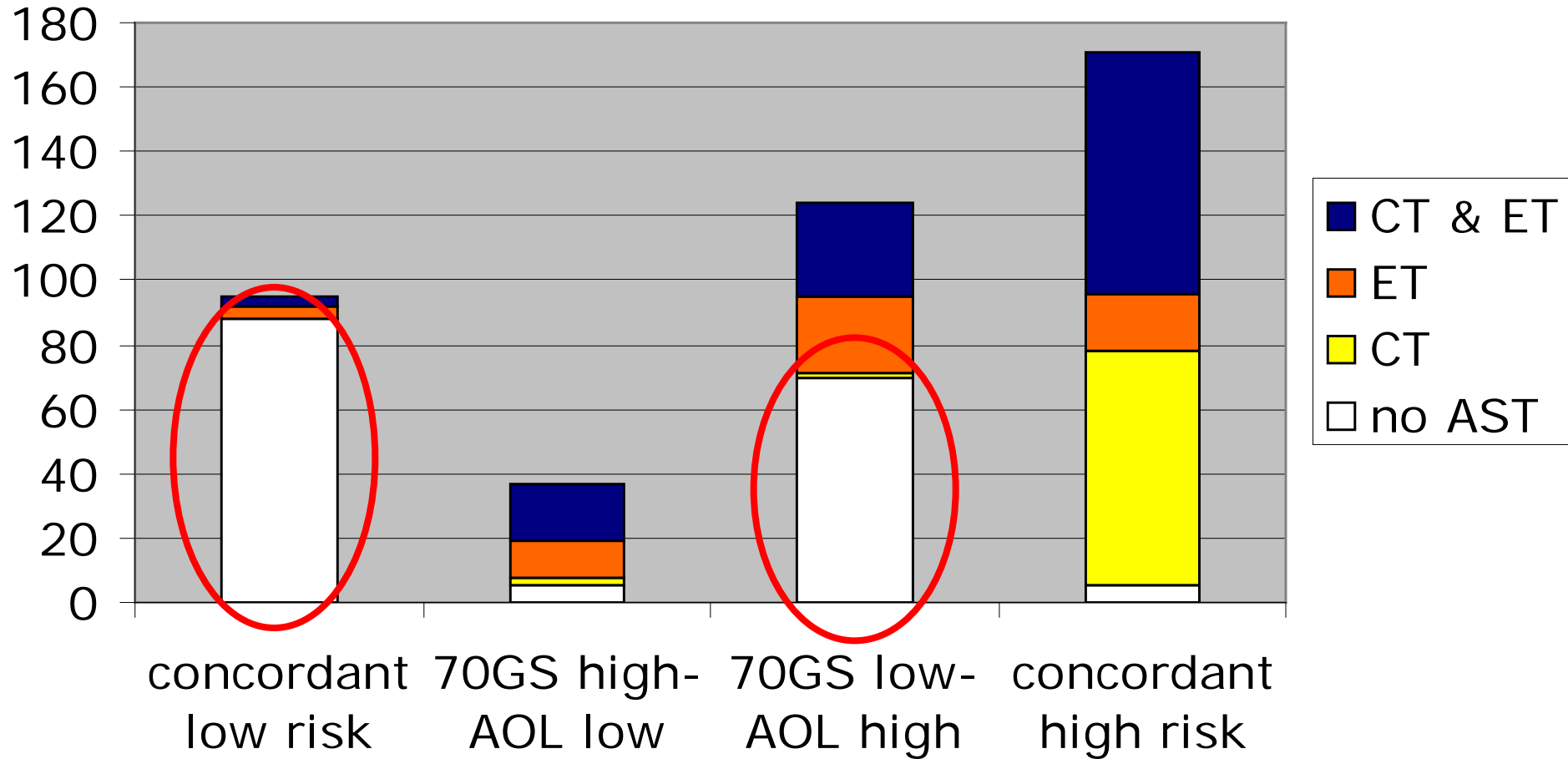
With 70GS 29% less patients high risk category, compared to AOL



# 5-year DDFS of 427 patients according to 70GS or AOL



# 70GS-AOL risk groups and AST



# Patient characteristics discordant group



n=94 patients no AST or ET only

Age 45-55 years	75%
pT1 (< 2 cm)	80%
Grade II	82%
IDC / ILC	72 / 20%
ER pos	98%
PgR pos	78%
HER neg	90%

# Conclusions



- AOL high risk and 70GS low risk patients who did not receive adjuvant systemic therapy or hormonal therapy only had an excellent 5-year DDFS (97.8%)
- This percentage is unlikely to drop below 90% at 10 years of follow-up
- Of this patient group at least 80% had an ER positive, HER2 negative, grade II tumor of 1 to 2 cm in size
- The percentage of high risk patients could be reduced by almost 30% when 70GS risk estimation was used

# Acknowledgements



All participating patients

**CVZ**

College voor zorgverzekeringen



**Diakonessenhuis**



Reinier de Graaf Groep



*Met aandacht. Dat voelt beter.*



Medisch Spectrum  $\Delta$  Twente



Rijnstate



onze lieve vrouwe gasthuis



Canisius-Wilhelmina Ziekenhuis

Ziekenhuis







# Multiple answers from a single array

---

MammaPrint  
70 x 9  
231 x 5

Molecular subtypes  
BluePrint  
80 x 5

mRNA readout ER, PR and  
HER2  
TargetPrint  
3 x 5

Research Gene Panel  
TheraPrint  
56 x 3

Normalization  
465 x 3  
Control probes  
536

Drug response profile

Drug response profile

